



DENTON COUNTY  
TRANSPORTATION AUTHORITY

1955 Lakeway Dr., # 260, Lewisville, Texas 75057  
972.221.4600 | RideDCTA.net

## **Board of Directors Work Session**

July 28, 2016 | 1:30 p.m.

1. Routine Briefing Items
  - a. Staff Briefing on Monthly Financial Reports
    - i. Financial Statements for June 2016
    - ii. Capital Projects Budget Report for June 2016
    - iii. Monthly Sales Tax Receipts
    - iv. Quarterly Investment Report Q3 FY2016
    - v. Current Procurement Activities
  - b. Marketing and Communications
    - i. Customer Service Update
      - a. GOREquest – Customer Feedback Tool
      - b. GovDelivery – Enhanced Rider Alerts System
    - ii. A-train 5-Year Anniversary Campaign Overview
    - iii. I-35/A-train Comparison Communications
    - iv. Community Survey Update
  - c. Strategic Planning and Development
    - i. Comprehensive Operational Analysis (COA) Implementation Update
    - ii. Interstate 35W High Intensity Bus Service Update
  - d. Capital Projects
    - i. Positive Train Control Update
    - ii. Hike and Bike Trail Project Update
  - e. Staff Briefing on Transit Operations Reports
    - i. Bus and Rail Operations
2. Items for Discussion
  - a. Emerging Role of Social Media Presentation
3. Committee Chair Reports
  - a. Finance Committee (07/25/2016) Dave Kovatch, chair
4. Discussion of Regular Board Meeting Agenda Items (July 2016)

5. Convene Executive Session. The Board may convene the Work Session into Closed Executive Session for the following:
  - a. As Authorized by Section 551.071(2) of the Texas Government Code, the Board of Directors Work Session may be Convened into Closed Executive Session for the Purpose of Seeking Confidential Legal Advice from the General Counsel on any Agenda Item Listed Herein or the Regular Board Meeting Agenda.
  - b. Texas Government Code Section 551.072 Deliberation regarding Real Property: Discuss acquisition, sale or lease of real property related to long-range service plan within the cities of Denton, Lewisville, or Highland Village.
6. Reconvene Open Session
  - a. Reconvene and Take Necessary Action on Items Discussed during Executive Session.
7. Discussion of Future Agenda Items
  - a. Board Member Requests
8. ADJOURN

**Chair – Charles Emery**  
**Vice Chair – Paul Pomeroy**

**Secretary – Richard Huckaby**  
**Treasurer – Dave Kovatch**

**Members – Skip Kalb, Doug Peach, Jim Robertson, Tom Winterburn,**  
**Don Hartman, George A. Campbell, Allen Harris, Carter Wilson, Connie White**  
**President – Jim Cline**

The Denton County Transportation Authority meeting rooms are wheelchair accessible. Access to the building and special parking are available at the main entrance. Requests for sign interpreters or special services must be received forty-eight (48) hours prior to the meeting time by emailing [rcomer@dcta.net](mailto:rcomer@dcta.net) or calling Rusty Comer at 972-221-4600.

This notice was posted on 7/22/2016 at 9:52 AM.

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Rusty Comer, Senior Public Information Specialist



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TRANSPORTATION AUTHORITY

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## **Board of Directors Regular Meeting**

**July 28, 2016 | 3:00 p.m.\***

*\*or immediately following Board Work Session  
scheduled at 1:30 p.m. on July 28, 2016*

CALL TO ORDER

PLEDGE OF ALLEGIANCE TO US AND TEXAS FLAGS

INVOCATION

WELCOME AND INTRODUCTION OF VISITORS

AGENCY AWARDS AND RECOGNITIONS

### **1. CONSENT AGENDA**

- a. Approval of Minutes for the Board Work Session and Regular Meeting on June 23, 2016
- b. Acceptance of Financial Statements – June 2016
- c. Acceptance of Quarterly Investment Report – Q3 FY2016
- d. Approval of Award of Bus Painting and Repair Services for Six Texoma Area Paratransit System (TAPS) Buses with Spectrum Truck Painting, Inc.
- e. Approval of Task Order with HNTB Corporation on the On Call Architectural and Engineering Services for Drainage Flume Repair and Strengthening
- f. Authorize President to Execute an Agreement for Banking and Depository Services with Wells Fargo
- g. Authorize President to Execute a Master Research Agreement with Texas A&M Transportation Institute

### **2. REGULAR AGENDA**

- a. Discussion and Approval of Change Orders to the Alstom Signaling Contract for Positive Train Control

### **3. Convene Executive Session. The Board may convene the Regular Board Meeting into Closed Executive Session for the following:**

- a. As Authorized by Section 551.071(2) of the Texas Government Code, the Regular Board Meeting may be Convened into Closed Executive Session for the Purpose of Seeking Confidential Legal Advice from the General Counsel on any Agenda Item Listed Herein.
- b. Texas Government Code Section 551.072 Deliberation regarding Real Property: Discuss acquisition, sale or lease of real property related to long-range service plan within the cities of Denton, Lewisville, or Highland Village.

### **4. Reconvene Open Session**

- a. Reconvene and Take Necessary Action on Items Discussed during Executive Session.

5. CHAIR REPORT

- a. Discussion of Regional Transportation Issues
- b. Discussion Legislative Issues
  - i. Regional
  - ii. State
  - iii. Federal

6. PRESIDENT'S REPORT

- a. Budget Transfers
- b. Regional Transportation Issues
- c. 3<sup>rd</sup> Quarter Agency Performance Report

7. REPORT ON ITEMS OF COMMUNITY INTEREST

- a. Pursuant to Texas Government Section 551.0415 the Board of Directors may report on following items: (1) expression of thanks, congratulations, or condolences; (2) information about holiday schedules; (3) recognition of individuals; (4) reminders about upcoming DCTA and Member City events; (5) information about community events; and (6) announcements involving imminent threat to public health and safety.

8. ADJOURN

**Chair – Charles Emery**  
**Vice Chair – Paul Pomeroy**

**Secretary – Richard Huckaby**  
**Treasurer – Dave Kovatch**

**Members – Skip Kalb, Doug Peach, Jim Robertson, Tom Winterburn,**  
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This notice was posted on 7/22/2016 at 9:53 AM.

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Rusty Comer, Senior Public Information Specialist



## Board of Directors Memo

July 28, 2016

### Subject: Monthly Financial Reports

#### Background

The financial statements are presented monthly to the Board of Directors for acceptance. The reports presented for the period ending June 30, 2016 include the Statement of Change in Net Assets, Statement of Net Assets, and Capital Projects Fund. These reports provide a comparison of budget vs. actual for the fiscal year as of the current month.

The following are major variances between year-to-date budget and year-to-date actuals, which are annotated on the Statement of Changes in Net Assets.

#### Statement of Changes in Net Assets:

- **Note A:** Passenger Revenues – YTD unfavorable by (\$71k), primarily due to lower than budgeted rail ridership. While rail ridership has slightly increased compared to the prior year, it is 7% below budget at 416k riders compared to 449k budgeted. Prior year rail ridership through June 2015 was 412k.
- **Note B:** Contract Service Revenue – YTD unfavorable by (\$58k), due to reduced fuel pass-through costs for UNT and NCTC (reduction of \$257k). Average billed fuel price/gallon of \$1.54 is 56% lower than the budgeted \$3.50/gallon. This unfavorable variance is partially offset by increased revenue hours (\$188k); YTD revenue hours of 50,102 are 8% higher than budgeted 46,457 revenue hours.
- **Note C:** Sales Tax Revenue – June sales tax revenue is not yet received and is accrued for the month based on budget. Sales tax generated in June will be received in August. The Sales Tax Report included in this agenda packet provides a more detailed Budget to Actual comparison of FY16 sales tax receipts collected through July.
- **Note D:** Federal/State Grants-Capital – YTD favorable by \$477k because YTD expenses and corresponding reimbursements for the PTC project are higher than anticipated due to federal progress on the project (\$1 million). Additionally, the expenses and corresponding reimbursement for Community Enhancements and the Lewisville Hike & Bike Trail (\$153k) that were anticipated in the FY2015 budget rather than FY2016. These expenses were paid in FY16 and reimbursement was requested at that time. This favorable variance is partially offset by the expenses and corresponding reimbursements for Trinity Mills (\$200k), COGNOS reporting software (\$160k), scheduling software (\$124k), bus fleet cameras (\$96k), and transit enhancements (\$87k) have been delayed from the timing anticipated in the budget. In addition, due to project scope changes, the Trinity Mills crew facility (\$200) will not be grant funded as previously anticipated in the budget. These funds were re-allocated to other eligible expenses.
- **Note E:** Federal/State Grants-Operating – YTD unfavorable by (\$3.4 million) is attributable to a re-distribution and delay in grant funding originally planned for rail preventive maintenance (\$1.6 million) and bus preventive maintenance (\$675k) as well as operating and ADA assistance (\$680k) and FEMA disaster recovery reimbursements (\$411k) as

anticipated in the budget. These reimbursements will be requested in coming months. Two issues have delayed grant reimbursement drawdowns for federal operating grants:

- 1) FTA experienced some delays in converting to its new reporting system – Transit Award Management System (TrAMS) and, due to timing of NCTCOG approvals for the 2015 Program of Projects, the grants were not able to be executed prior to the switch over to the new FTA system. The 5307 grant projects and the allocation for vanpool have been submitted and are in pending final completion by FTA. Once this is complete DCTA will execute the grants so drawdowns for reimbursement can be completed.
- 2) Rail preventive maintenance expenses for 2016 were not reimbursable under the contract with Herzog Transit Services. This was a one year contract that had to be expedited and was not procured following federal requirements. The grant amount previously used for rail preventive maintenance was reprogrammed for FY2016 and shifted to other eligible operating expenses. The reprogramming of the grant funds has been completed however the re-programmed grant modifications had to be submitted via the new TrAMS system as well.

A new longer term Rail O&M RFP has been awarded to begin in October; preventive maintenance expenditures under this contract award will be eligible for grant reimbursements.

DCTA staff meet with FTA Region VI representatives to review status and determine how best to complete the FTA portion of the TrAMS system process. FTA staff is working to resolve the bottleneck. Our target is to have these grants executed for draw-down within the next 30-60 days.

- **Note F:** Insurance – YTD unfavorable by (\$14k), mainly attributable to Frisco insurance costs (\$6k) and insurance deductible payments for vehicle accidents (\$5k) that were not anticipated in the budget.
- **Note G:** Purchased Transportation – YTD unfavorable by (\$109k), due to A-train and track/signal repair related to an incident in August 2015 (\$102k). An insurance claim has been filed but not yet reimbursed.

#### Identified Need


Provides the Board a review of DCTA's financial position and the agency's performance to budget.

#### Recommendation

Staff recommends acceptance.

Submitted by: Marisa Perry, CPA  
Controller

Final Review:   
Anna Mosqueda,  
CFO

Approval:   
James C. Cline, Jr., P.E.,  
President

**Denton County Transportation Authority**  
**Statement of Net Assets**  
**As of June 30, 2016**  
**(Unaudited)**

	<u>June 30, 2016</u>	<u>May 31, 2016</u>	<u>Change</u>
<b>Current Assets</b>			
Cash & Cash Equivalents	11,911,570	10,248,145	1,663,426
Investments	1,885,110	3,539,574	(1,654,464)
Accounts & Notes Receivable	6,485,193	6,147,193	338,000
Prepaid Expenses	552,860	620,834	(67,974)
Inventory	20,310	24,865	(4,554)
Restricted Asset-Cash and Equivalents	5,140,517	5,139,362	1,155
<b>Total Current Assets</b>	<u>25,995,560</u>	<u>25,719,972</u>	<u>275,588</u>
<b>Property, Plant and Equipment</b>			
Land	16,228,337	16,228,337	-
Land Improvements	6,458,821	6,458,821	-
Machinery & Equipment	2,289,309	2,289,309	-
Leasehold Improvements	55,506	55,506	-
Vehicles	88,753,500	88,753,500	-
Computers & Software	1,180,676	1,180,676	-
Accumulated Depreciation	(45,512,380)	(44,709,369)	(803,011)
<b>Total Property, Plant and Equipment</b>	<u>69,453,770</u>	<u>70,256,781</u>	<u>(803,011)</u>
<b>Capital Assets</b>			
Intangible Assets	16,997,155	16,997,155	-
Other Capital Assets, Net	234,739,641	234,739,641	-
Construction in Progress	11,219,193	7,818,675	3,400,518
<b>Total Capital Assets</b>	<u>262,955,989</u>	<u>259,555,471</u>	<u>3,400,518</u>
<b>Total Assets</b>	<u><u>358,405,319</u></u>	<u><u>355,532,223</u></u>	<u><u>2,873,096</u></u>
<b>Liabilities</b>			
<b>Current Liabilities</b>			
Accounts Payable	1,608,680	-	1,608,680
Salary, Wages, and Benefits Payable	597,454	538,399	59,055
Accrued Expenses Payable	1,532,113	872,046	660,067
Deferred Revenues	66,146	72,494	(6,348)
Interest Payable	289,106	192,737	96,369
<b>Total Current Liabilities</b>	<u>4,093,499</u>	<u>1,675,675</u>	<u>2,417,824</u>
<b>Non-Current Liabilities</b>			
Rail Easement Payable	1,300,000	1,300,000	-
Retainage Payable	189,870	120,695	69,175
Bonds Payable	31,965,000	31,965,000	-
<b>Total Non-Current Liabilities</b>	<u>33,454,870</u>	<u>33,385,695</u>	<u>69,175</u>
<b>Total Liabilities</b>	<u><u>37,548,369</u></u>	<u><u>35,061,370</u></u>	<u><u>2,486,999</u></u>
<b>Net Assets</b>			
Invested in Capital Assets	301,021,097	301,021,097	-
Unrestricted Retained Earnings	20,855,808	20,855,808	-
Change in Net Assets	(1,019,955)	(1,406,052)	<b>386,097</b>
<b>Total Equity</b>	<u>320,856,951</u>	<u>320,470,853</u>	<u>386,097</u>
<b>Total Liabilities and Equity</b>	<u><u>358,405,319</u></u>	<u><u>355,532,223</u></u>	<u><u>2,873,096</u></u>

**Denton County Transportation Authority**  
**Change in Net Assets**  
**Month and Year to Date June 30, 2016**  
**(Unaudited)**

Item 1(a)i

Description	Month Ended June 30, 2016			Year to Date June 30, 2016			Annual Budget	
	Actual	Budget	Variance	Actual	Budget	Variance		
<b>Revenue and Other Income</b>								
Passenger Revenues	100,152	113,507	(13,355)	1,073,284	1,144,320	(71,036)	1,542,909	Note A
Contract Service Revenue	155,926	176,059	(20,133)	2,658,775	2,716,311	(57,536)	3,534,517	Note B
Sales Tax Revenue	2,132,161	2,009,992	122,169	17,994,003	17,369,362	624,641	23,067,403	Note C
Federal/State Grants - Capital	1,079,659	330,153	749,507	3,461,093	2,983,785	477,309	6,350,215	Note D
Federal/State Grants - Operating	120,101	683,800	(563,698)	1,037,952	4,448,436	(3,410,484)	6,502,465	Note E
<b>Total Revenue and Other Income</b>	<b>3,588,000</b>	<b>3,313,510</b>	<b>274,489</b>	<b>26,225,107</b>	<b>28,662,214</b>	<b>(2,437,107)</b>	<b>40,997,509</b>	
<b>Operating Expenses</b>								
Salary, Wages and Benefits	808,826	738,712	(70,114)	6,843,587	7,117,419	273,832	9,418,015	
Services	138,057	174,807	36,750	1,633,692	2,032,158	398,466	2,553,883	
Materials and Supplies	158,875	201,324	42,449	1,420,948	1,891,386	470,438	2,475,522	
Utilities	35,417	42,413	6,996	266,820	384,117	117,297	511,361	
Insurance, Casualties and Losses	72,349	66,655	(5,695)	614,399	600,243	(14,156)	800,215	Note F
Purchased Transportation Services	1,074,785	887,248	(187,538)	8,077,906	7,969,254	(108,652)	10,633,624	Note G
Miscellaneous	11,703	32,363	20,660	111,760	233,671	121,911	268,431	
Leases and Rentals	13,325	9,682	(3,643)	123,258	115,138	(8,120)	144,183	
Depreciation	803,011	838,026	35,015	7,437,736	7,476,401	38,665	10,017,196	
<b>Total Operating Expenses</b>	<b>3,116,348</b>	<b>2,991,229</b>	<b>(125,119)</b>	<b>26,530,106</b>	<b>27,819,788</b>	<b>1,289,683</b>	<b>36,822,430</b>	
<b>Income Before Non-operating Revenue and Expense</b>	<b>471,652</b>	<b>322,281</b>	<b>149,371</b>	<b>(304,998)</b>	<b>842,425</b>	<b>(1,147,424)</b>	<b>4,175,079</b>	
<b>Non-Operating Revenues / (Expense)</b>								
Investment Income	5,493	1,667	3,826	42,585	15,003	27,582	20,000	
Gain (Loss) Disposal of Assets	-	-	-	(14,675)	-	(14,675)	-	
Fare Evasion Fee	300	125	175	1,725	1,125	600	1,500	
Other Income - Miscellaneous	5,021	-	5,021	122,533	-	122,533	-	
Long Term Debt Interest/Expense	(96,369)	(96,368)	(1)	(867,124)	(867,312)	188	(1,156,422)	
<b>Total Non-Operating Revenue / (Expense)</b>	<b>(85,555)</b>	<b>(94,576)</b>	<b>9,021</b>	<b>(714,956)</b>	<b>(851,184)</b>	<b>136,228</b>	<b>(1,134,922)</b>	
<b>Change in Net Assets</b>	<b>386,097</b>	<b>227,705</b>	<b>158,392</b>	<b>(1,019,955)</b>	<b>(8,759)</b>	<b>(1,011,196)</b>	<b>3,040,157</b>	



**Capital Projects Fund - DCTA**  
**Budget vs. Actual**  
As of June 30, 2016  
(Cash Basis)

**Work Session 1a**

**ASSETS**

**Fixed Assets**

**1660 - Construction Work in Progress**

**1 - G&A Capital Projects**

Total 10402 - Shortel Phone System	45,000	85,000	-	-	85,000	0%
Total 10601 - COGNOS Report Application	200,000	200,000	-	-	200,000	0%
Total 10602 - Comprehensive Service Analysis	567,414	420,222	7,820	189,302	230,920	45%
Total 10603 - Legal Support	226,753	226,753	170	83,635	143,118	37%
Total 10301 - Lewisville Facilities Study	-	100,000	6,368	19,169	80,831	19%

<b>Total 1 - G&amp;A Capital Projects</b>	<b>1,039,167</b>	<b>1,031,975</b>	<b>14,358</b>	<b>292,107</b>	<b>739,868</b>	<b>28%</b>
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**5 - Bus Capital Projects**

Total 50204 - Transit Enhancements (2015-16)	165,000	165,000	4,644	84,827	80,173	51%
Total 50301 - Bus O&M Facility	8,142,667	11,497,555	-	11,397,060	100,495	99%
Total 50505 - Fleet Replacement (2015)	395,000	1,634,000	1,564,492	1,626,492	7,508	100%
Total 50409 - Bus Fleet Cameras	149,500	149,500	-	-	149,500	0%
Total 50507 - Fleet (2016)	1,250,000	1,250,000	-	-	1,250,000	0%
Total 50601 - Scheduling Software (Bus)	250,000	250,000	-	20,000	230,000	8%

<b>Total 5 - Bus Capital Projects</b>	<b>10,352,167</b>	<b>14,946,055</b>	<b>1,569,136</b>	<b>13,128,379</b>	<b>1,817,676</b>	<b>88%</b>
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**6 - Rail Construction**

Total 61401 - Fare Collection Systems	569,000	733,104	-	682,258	50,846	93%
Total 61406 - Positive Train Control Preparation	15,997,441	1,346,527	-	1,346,527	-	100%
Total 61406.1 - Positive Train Control Implementation	-	16,720,141	1,395,383	3,922,512	12,797,629	23%
Total 61708 - Lewisville Bike Trail	3,099,856	3,099,856	-	2,046,359	1,053,497	66%
Total 60701 - Passenger Information	56,214	96,821	-	61,411	35,410	63%
Total 61712 - Rail MOE	585,000	1,302,627	16,538	980,794	321,833	75%
Total 61505 - GTW Wheel Work	915,041	915,041	228,399	669,456	245,585	73%
Total 61604 - Rail Mobilization (2015)	2,119,517	2,119,517	-	-	2,119,517	0%
Total 61209 - Trinity Mills Crew Facility	250,000	250,000	4,254	68,311	181,689	27%
Total 61210 - Station Landscaping	75,000	485,000	-	385,572	99,428	79%

**61713 - Disaster Recovery - 2015 Floods**

6171301 - Operating Revenue	-	-	(31,386)	(181,483)	181,483	0%
<b>Total 61713 - Disaster Recovery - 2015 Floods</b>	<b>1,000,000</b>	<b>300,000</b>	<b>-</b>	<b>216,457</b>	<b>83,543</b>	<b>72%</b>

**61713-1 - Rail Facility Flume Repair (2015 Flood)**

61713-1.01 - Operating Revenue	-	-	(87,059)	(87,059)	87,059	0%
<b>Total 61713-1 - Rail Facility Flume Repair (2015 Flood)</b>	<b>325,482</b>	<b>325,482</b>	<b>126</b>	<b>31,965</b>	<b>293,517</b>	<b>10%</b>

**Total 61713-2 - Grade Crossing Replacements (2015 Flood)**

	2,157,760	2,157,760	-	62,707	2,095,053	3%
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**61713-3 - Hebron Signal House (2015 Flood)**

61713-3.01 - Operating Revenue	-	-	-	(116,060)	116,060	0%
<b>Total 61713-3 - Hebron Signal House (2015 Flood)</b>	<b>122,000</b>	<b>122,000</b>	<b>-</b>	<b>91,079</b>	<b>30,921</b>	<b>75%</b>

**Total 61713-4 - Ballast Undercutting (2015 Flood)**

	761,600	761,600	-	21,198	740,402	3%
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**Total 61713-5 - Pockrus Page (2015 Flood)**

	623,000	623,000	-	21,487	601,513	3%
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**Total 61714 - Rail Capital Maintenance**

	745,000	745,000	-	-	745,000	0%
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**Total 61507 - ABB Stadler Spare Parts**

	276,000	276,000	-	-	276,000	0%
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**Total 61715 - Trail Safety Improvements**

	139,657	139,657	-	-	139,657	0%
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**Total 61508 - Stadler Fleet Emergency Lighting**

	-	190,000	172,324	189,556	444	100%
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**Total 61716 - Lewisville Bike Trail - Eagle Point Section**

	-	2,995,873	-	-	2,995,873	0%
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<b>Total Rail Construction Projects</b>	<b>29,817,568</b>	<b>35,705,006</b>	<b>1,817,024</b>	<b>10,797,650</b>	<b>24,907,356</b>	<b>30%</b>
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**Total 1660 - Construction Work in Progress**

	<b>41,208,902</b>	<b>51,683,036</b>	<b>3,400,518</b>	<b>24,218,136</b>	<b>27,464,901</b>	<b>47%</b>
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## Board of Directors Memo

July 28, 2016

### Subject: Sales Tax Report

#### Background

Sales tax represents the single largest source of revenue for DCTA at 59.68% for FY16 budget. The annual Sales Tax budget for FY 2016 is \$23,067,403. Because of its importance in funding of DCTA's ongoing operations, the Board adopted a Budget Contingency Plan that outlines the Agency's response when declines in sales tax hit a specific target. This month, receipts were favorable compared to budget. The July allocation is for sales generated in the month of May and represents revenue for the eighth month of FY 2016.

- Sales tax for sales generated at retail in the month of May and received in July was \$1,931,826.
- This represents an increase of 6.75% or \$122,169 compared to budget for the month.
- Receipts are favorable 4.77% year-to-date compared to budget.
- Compared to the same month last year, sales tax receipts were \$174,289 or 9.92% more.
  
- Member city collections for the month compared to prior year are as follows:
  - City of Lewisville up 13.95%
  - City of Denton up 14.12%
  - Highland Village down 9.33%

#### Need

Provides the Board of Directors a monthly status on Sales Tax collections.

#### Recommendation

For information only. No action required.

#### Final Review:

  
\_\_\_\_\_  
Anna Mosqueda,  
CFO

**Denton County Transportation Authority (DCTA)**  
**Sales Tax Report**  
**Budget to Actual and Previous Year Comparison**

Sales Generated in Month of:	Received in Month of:	2015-2016 Year Budget	2015-2016 Year Actual	Variance Actual to Budget	CY Actual to CY Budget % Variance	2014-2015 Year Actual	Variance Actual to Prior Year	CY Actual to PY Actual % Variance
October	December	\$ 2,023,528	\$ 1,821,854	\$ (201,674)	-9.97%	\$ 1,945,700	\$ (123,846)	-6.37%
November	January	\$ 1,836,141	\$ 1,959,303	\$ 123,162	6.71%	\$ 1,765,520	\$ 193,783	10.98%
December	February	\$ 2,530,794	\$ 2,669,055	\$ 138,261	5.46%	\$ 2,433,456	\$ 235,599	9.68%
January	March	\$ 1,812,076	\$ 1,637,497	\$ (174,579)	-9.63%	\$ 1,742,381	\$ (104,884)	-6.02%
February	April	\$ 1,629,477	\$ 1,776,656	\$ 147,179.13	9.03%	\$ 1,566,805	\$ 209,851	13.39%
March	May	\$ 1,967,178	\$ 2,340,336	\$ 373,158	18.97%	\$ 2,074,176	\$ 266,160	12.83%
April	June	\$ 1,750,519	\$ 1,955,110	\$ 204,591	11.69%	\$ 1,803,955	\$ 151,155	8.38%
May	July	\$ 1,809,657	\$ 1,931,826	\$ 122,169	6.75%	\$ 1,757,537	\$ 174,289	9.92%
June	August	\$ 2,009,992				\$ 2,104,123		
July	September	\$ 1,755,537				\$ 1,825,970		
August	October	\$ 1,865,219				\$ 2,082,973		
September	November	\$ 2,077,285				\$ 2,159,150		
<b>YTD Total</b>		<b>\$ 23,067,403</b>	<b>\$ 16,091,637</b>	<b>\$ 732,267</b>	<b>4.77%</b>	<b>\$ 23,261,746</b>	<b>\$ 1,002,107</b>	<b>6.64%</b>

Sources: Texas Comptroller of Public Accounts and DCTA Finance Department

Prepared By: Denton County Transportation Authority Finance Department

July 22, 2016

**Denton County Transportation Authority (DCTA)**  
**Member Cities Sales Tax Report**  
**Month Allocation is Received from Comptroller**  
**Previous Year Comparison**

City of Lewisville						City of Highland Village					
Sales Generated in Month of:	Received in Month of:	2014-2015 Year Actual	2015-2016 Year Actual	Variance Actual to Prior Year	CY Actual to PY Actual % Variance	Sales Generated in Month of:	Received in Month of:	2014-2015 Year Actual	2015-2016 Year Actual	Variance Actual to Prior Year	CY Actual to PY Actual % Variance
October	December	\$ 2,532,839	\$ 2,537,910	\$ 5,072	0.20%	October	December	\$ 268,275	\$ 290,956	\$ 22,682	8.45%
November	January	\$ 2,404,460	\$ 2,541,494	\$ 137,034	5.70%	November	January	\$ 283,432	\$ 301,727	\$ 18,295	6.45%
December	February	\$ 3,407,084	\$ 3,661,985	\$ 254,902	7.48%	December	February	\$ 441,441	\$ 469,889	\$ 28,448	6.44%
January	March	\$ 2,479,995	\$ 2,441,184	\$ (38,811)	-1.56%	January	March	\$ 249,723	\$ 264,553	\$ 14,830	5.94%
February	April	\$ 2,194,340	\$ 2,533,341	\$ 339,001	15.45%	February	April	\$ 220,832	\$ 233,938	\$ 13,106	5.93%
March	May	\$ 2,920,317	\$ 3,373,295	\$ 452,978	15.51%	March	May	\$ 319,961	\$ 330,255	\$ 10,294	3.22%
April	June	\$ 2,570,323	\$ 2,982,209	\$ 411,886	16.02%	April	June	\$ 275,169	\$ 265,293	\$ (9,877)	-3.59%
May	July	\$ 2,441,318	\$ 2,781,814	\$ 340,496	13.95%	May	July	\$ 317,874	\$ 288,202	\$ (29,672)	-9.33%
June	August	\$ 2,867,226				June	August	\$ 330,952			
July	September	\$ 2,531,076				July	September	\$ 276,336			
August	October	\$ 2,996,730				August	October	\$ 264,926			
September	November	\$ 3,013,889				September	November	\$ 342,868			
<b>YTD Total</b>		<b>\$ 32,359,597</b>	<b>\$ 22,853,233</b>	<b>\$ 1,902,556</b>	<b>9.08%</b>	<b>YTD Total</b>		<b>\$ 3,591,789</b>	<b>\$ 2,444,813</b>	<b>\$ 68,105</b>	<b>2.87%</b>

City of Denton					
Sales Generated in Month of:	Received in Month of:	2014-2015 Year Actual	2015-2016 Year Actual	Variance Actual to Prior Year	CY Actual to PY Actual % Variance
October	December	\$ 2,345,573	\$ 2,246,579	\$ (98,994)	-4.22%
November	January	\$ 2,197,657	\$ 2,342,199	\$ 144,542	6.58%
December	February	\$ 3,020,338	\$ 3,372,458	\$ 352,120	11.66%
January	March	\$ 2,109,216	\$ 1,869,754	\$ (239,463)	-11.35%
February	April	\$ 1,852,968	\$ 2,128,444	\$ 275,476	14.87%
March	May	\$ 2,678,550	\$ 2,842,993	\$ 164,443	6.14%
April	June	\$ 2,152,181	\$ 2,317,882	\$ 165,701	7.70%
May	July	\$ 2,107,901	\$ 2,405,495	\$ 297,594	14.12%
June	August	\$ 2,682,604			
July	September	\$ 2,259,012			
August	October	\$ 2,584,144			
September	November	\$ 2,727,016			
<b>YTD Total</b>		<b>\$ 28,717,159</b>	<b>\$ 19,525,804</b>	<b>\$ 1,061,420</b>	<b>5.75%</b>

Sources: Texas Comptroller of Public Accounts and DCTA Finance Department  
Prepared By: Denton County Transportation Authority Finance Department  
July 22, 2016

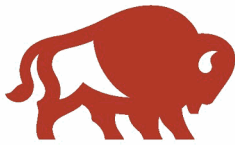
**All Transit Agencies  
Monthly Sales and Use Tax Comparison Summary**

<b>Transit</b>	<b>Current Rate</b>	<b>Net Payment This Period</b>	<b>Comparable Payment Prior Year</b>	<b>% Change</b>	<b>2016 Payments (Calendar)</b>	<b>2015 Payments (Calendar)</b>	<b>% Change</b>
Houston MTA	1.00%	\$ 53,097,666.24	\$ 55,005,246.61	-3.47%	\$ 400,908,660.88	\$ 418,903,223.53	-4.30%
Dallas MTA	1.00%	\$ 42,667,232.68	\$ 39,645,418.71	7.62%	\$ 314,322,092.47	\$ 300,303,264.25	4.67%
Austin MTA	1.00%	\$ 17,634,795.91	\$ 16,766,144.56	5.18%	\$ 127,595,992.30	\$ 120,842,590.09	5.59%
San Antonio MTA	0.50%	\$ 10,512,966.45	\$ 10,085,602.74	4.24%	\$ 79,692,131.12	\$ 79,138,105.96	0.70%
San Antonio ATD	0.25%	\$ 4,770,938.05	\$ 4,589,755.95	3.95%	\$ 36,189,306.37	\$ 35,519,370.07	1.89%
Fort Worth MTA	0.50%	\$ 5,587,876.61	\$ 4,915,304.23	13.68%	\$ 39,506,129.53	\$ 37,202,134.77	6.19%
El Paso CTD	0.50%	\$ 3,337,374.93	\$ 3,246,036.61	2.81%	\$ 24,597,058.60	\$ 23,771,702.57	3.47%
Corpus Christi MTA	0.50%	\$ 2,411,819.20	\$ 2,549,990.21	-5.42%	\$ 18,374,334.68	\$ 19,882,431.13	-7.59%
Denton CTA	0.50%	\$ 1,931,825.74	\$ 1,757,536.86	9.92%	\$ 14,269,782.82	\$ 13,143,830.41	8.57%
Laredo CTD	0.25%	\$ 574,650.17	\$ 598,019.58	-3.91%	\$ 4,456,691.45	\$ 4,699,827.99	-5.17%
<b>TOTALS</b>		<b>\$ 142,527,145.98</b>	<b>\$ 139,159,056.06</b>	<b>2.42%</b>	<b>\$ 1,059,912,180.22</b>	<b>\$ 1,053,406,480.77</b>	<b>0.62%</b>

Sources: Texas Comptroller of Public Accounts and DCTA Finance Department

Prepared By: Denton County Transportation Authority Finance Department

July 8, 2016



**Denton County Transportation Authority**



**For the Quarter Ended**

**June 30, 2016**

### Report Name

Certification Page
Executive Summary
Benchmark Comparison
Detail of Security Holdings
Change in Value
Earned Income
Investment Transactions
Amortization and Accretion
Projected Fixed Income Cash Flows

#### MARKET RECAP - JUNE 2016:

By and large the U.S. economic data released during June was weaker than expected, but by the end of the month geopolitical events would trump the data and everything else, setting bond yields on a course to new record lows in the first days of July. The ISM manufacturing index for May crept up to 51.3 from 50.8, lingering just above the expansion break-even level of 50, while the non-manufacturing (service sector) index slipped from 55.7 to 52.9, the weakest reading since February 2014. The May employment report was both a huge disappointment and the final nail in the coffin for a June rate hike. Non-farm payrolls grew by just +38k in May, well short of even the most pessimistic forecast for +90k and the worst showing since September 2010. Revisions to the two prior months subtracted another -59k from the tally, taking the three month average down to +116k. This is in sharp contrast to the +229k monthly average for all of 2015. The unemployment rate did decline to 4.7%, but for all the wrong reasons as the labor force plunged by -458k, taking the participation rate down to 62.6%. Automobile sales remained fairly ebullient at 17.4 million annual units and overall retail sales logged a decent month, gaining +0.5% at the headline while the less volatile control group advanced +0.4%. Housing data put in another mixed performance in May with new home sales slipping -6% to 551k annual units and existing homes sales up +1.8% to 5.53 million annual units. Inflation data was mixed as well with the results depending on which indicator you focus on. At the wholesale level, producer prices were up +0.4% overall in May and +0.3% ex food and energy with core PPI up +1.2% year-over-year. Consumer prices have firmed in recent months and that trend continued in May with headline CPI gaining +0.2% for the month and +1.0% year-over-year. Core CPI, which excludes food and energy, is running +2.2% over the last twelve months. The Fed's preferred core PCE measure is rising at a cooler +1.6% annual rate.

With the data out of the way we turn our attention to what really mattered in June. First, it was the June FOMC meeting. By the time of the mid-month meeting it was a forgone conclusion that the Fed would not raise interest rates. What mattered was what the FOMC said in its press release and the dot plot forecast, both of which were more dovish than the market had expected as the Fed reduced its forecasts for future rate hikes. The market quickly digested this news and shifted its focus to the British referendum on whether to remain a member of the European Union. In the days leading up to the June 23rd "Brexit" vote most experts predicted "remain" would carry the day. When British voters instead decided to leave the EU, financial markets were thrown into disarray. The immediate fallout was a sharp sell-off in worldwide equity markets and a flight to safety rally in fixed income. Uncertainty over exactly what the decision means and fears that it might spark a global recession are leading to expectations for additional central bank stimulus. Although the direct impact on the U.S. economy is likely to be small, the prospects for Fed rate hikes in the face of all this have greatly diminished. That alone would be enough to send yields lower but when you also consider that U.S. government bond yields remain the highest of most developed markets, and by a large margin, you have a recipe for a massive rally. That in fact is what we are seeing. The two-year Treasury note yield, which opened the month at 0.88%, closed a stunning 30 basis points lower at 0.58% and would trade below 0.54% in the early days of July. The 10-year Treasury note would lose nearly 40 basis points, falling from 1.85% to 1.47% in June, before seeing an all-time record low 1.32% on July 6th.

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**For the Quarter Ended**  
**June 30, 2016**

This report is prepared for the **Denton County Transportation Authority** (the "Entity") in accordance with Chapter 2256 of the Texas Public Funds Investment Act ("PFIA"). Section 2256.023(a) of the PFIA states that: "Not less than quarterly, the investment officer shall prepare and submit to the governing body of the entity a written report of the investment transactions for all funds covered by this chapter for the preceding reporting period." This report is signed by the Entity's investment officers and includes the disclosures required in the PFIA. To the extent possible, market prices have been obtained from independent pricing sources.

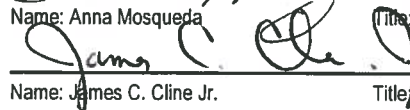
The investment portfolio complied with the PFIA and the Entity's approved Investment Policy and Strategy throughout the period. All investment transactions made in the portfolio during this period were made on behalf of the Entity and were made in full compliance with the PFIA and the approved Investment Policy.

**Officer Names and Titles:**



Name: Anna Mosqueda

Title: Chief Financial Officer



Name: James C. Cline Jr.

Title: Chief Executive Officer



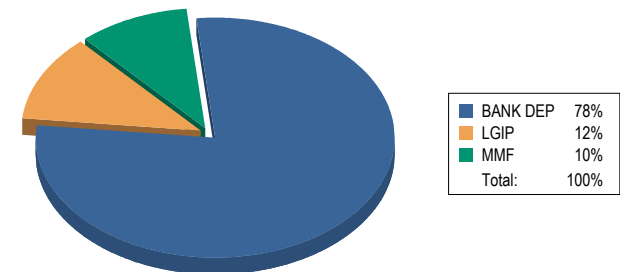
### Account Summary

### Allocation by Security Type

Beginning Values as of 03/31/16

Ending Values as of 06/30/16

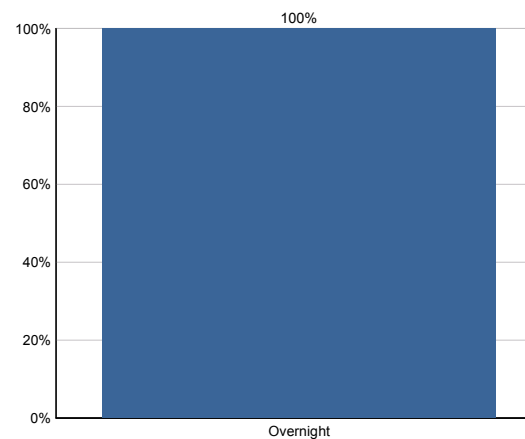
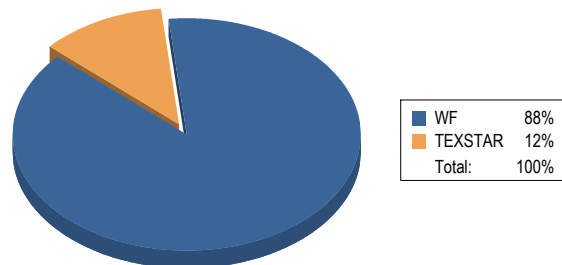
Par Value	19,130,113.80	18,973,680.85
Market Value	19,130,113.80	18,973,680.85
Book Value	19,130,113.80	18,973,680.85
Unrealized Gain /(Loss)	0.00	0.00
<b>Market Value %</b>	<b>100.00%</b>	<b>100.00%</b>
Weighted Avg. YTW	0.322%	0.321%
Weighted Avg. YTM	0.322%	0.321%



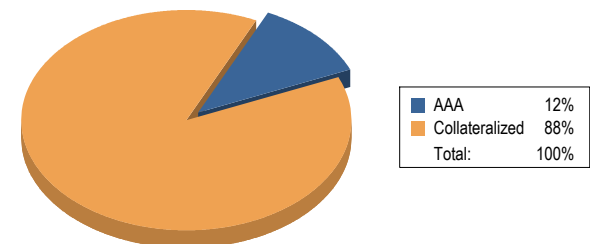
### Allocation by Issuer

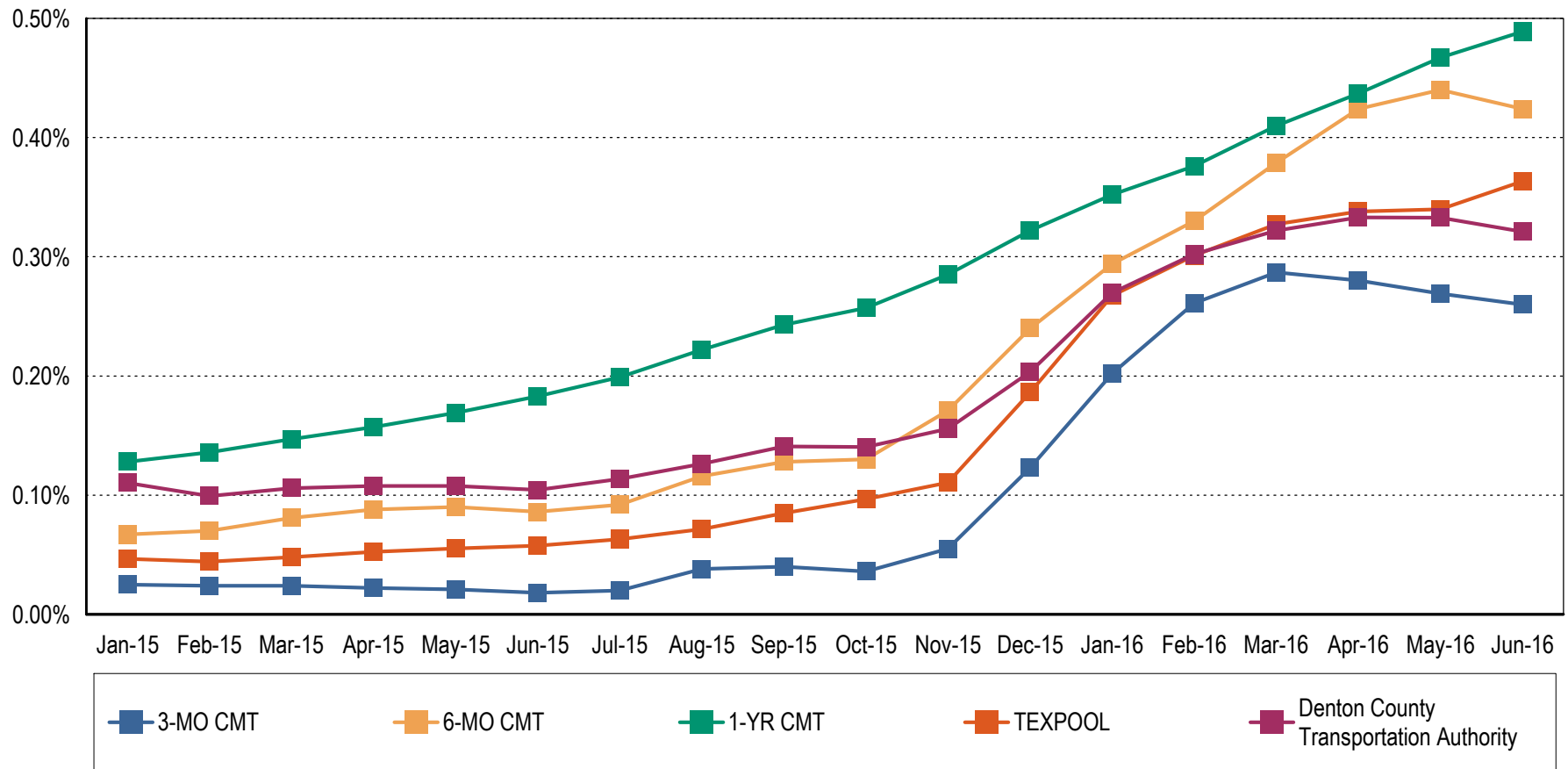
### Maturity Distribution %

### Credit Quality



Weighted Average Days to Maturity: 0





**Note 1:** CMT stands for Constant Maturity Treasury. This data is published in Federal Reserve Statistical Release H.15 and represents an average of all actively traded Treasury securities having that time remaining until maturity. This is a standard industry benchmark for Treasury securities. The CMT benchmarks are moving averages. The 3-month CMT is the daily average for the previous 3 months, the 6-month CMT is the daily average for the previous 6 months, and the 1-year and 2-year CMT's are the daily averages for the previous 12-months.

**Note 2:** Benchmark data for TexPool is the monthly average yield.

CUSIP	Settle Date	Sec. Type	Sec. Description	CPN	Mty Date	Next Call	Call Type	Par Value	Purch Price	Orig Cost	Book Value	Mkt Price	Market Value	Days to Mty	Days to Call	YTM	YTW
<b>2011 Bond Fund</b>																	
WF-MANA		BANK DEP	Wells Fargo Managed Rate					3,654,966.82	100.000	3,654,966.82	3,654,966.82	100.000	3,654,966.82	1		0.230	0.230
<b>Total for 2011 Bond Fund</b>								<b>3,654,966.82</b>	<b>100.000</b>	<b>3,654,966.82</b>	<b>3,654,966.82</b>	<b>100.000</b>	<b>3,654,966.82</b>	<b>1</b>		<b>0.230</b>	<b>0.230</b>
<b>Bond Fund</b>																	
TEXSTAR		LGIP	TexSTAR					1,485,549.96	100.000	1,485,549.96	1,485,549.96	100.000	1,485,549.96	1		0.393	0.393
<b>Total for Bond Fund</b>								<b>1,485,549.96</b>	<b>100.000</b>	<b>1,485,549.96</b>	<b>1,485,549.96</b>	<b>100.000</b>	<b>1,485,549.96</b>	<b>1</b>		<b>0.393</b>	<b>0.393</b>
<b>Operating Fund</b>																	
WF-MANA		BANK DEP	Wells Fargo Managed Rate					1,177,806.59	100.000	1,177,806.59	1,177,806.59	100.000	1,177,806.59	1		0.230	0.230
WF-SWEEP		MMF	Wells Fargo Sweep					1,898,855.74	100.000	1,898,855.74	1,898,855.74	100.000	1,898,855.74	1		0.000	0.000
<b>Total for Operating Fund</b>								<b>3,076,662.33</b>	<b>100.000</b>	<b>3,076,662.33</b>	<b>3,076,662.33</b>	<b>100.000</b>	<b>3,076,662.33</b>	<b>1</b>		<b>0.088</b>	<b>0.088</b>
<b>Reserve Fund</b>																	
WF-PREM		BANK DEP	Wells Fargo Premium Rate					10,049,198.77	100.000	10,049,198.77	10,049,198.77	100.000	10,049,198.77	1		0.410	0.410
<b>Total for Reserve Fund</b>								<b>10,049,198.77</b>	<b>100.000</b>	<b>10,049,198.77</b>	<b>10,049,198.77</b>	<b>100.000</b>	<b>10,049,198.77</b>	<b>1</b>		<b>0.410</b>	<b>0.410</b>
<b>Sales Tax Fund</b>																	
TEXSTAR		LGIP	TexSTAR					707,302.97	100.000	707,302.97	707,302.97	100.000	707,302.97	1		0.393	0.393
<b>Total for Sales Tax Fund</b>								<b>707,302.97</b>	<b>100.000</b>	<b>707,302.97</b>	<b>707,302.97</b>	<b>100.000</b>	<b>707,302.97</b>	<b>1</b>		<b>0.393</b>	<b>0.393</b>
<b>Total for Denton County Transportation Authority</b>								<b>18,973,680.85</b>	<b>100.000</b>	<b>18,973,680.85</b>	<b>18,973,680.85</b>	<b>100.000</b>	<b>18,973,680.85</b>	<b>1</b>		<b>0.321</b>	<b>0.321</b>

CUSIP	Security Type	Security Description	03/31/16 Book Value	Cost of Purchases	Maturities / Calls / Sales	Amortization / Accretion	Realized Gain/(Loss)	06/30/16 Book Value	03/31/16 Market Value	06/30/16 Market Value	Change in Mkt Value
<b>2011 Bond Fund</b>											
WF-MANA	BANK DEP	Wells Fargo Managed Rate	3,652,917.09	2,049.73	0.00	0.00	0.00	3,654,966.82	3,652,917.09	3,654,966.82	2,049.73
<b>Total for 2011 Bond Fund</b>			<b>3,652,917.09</b>	<b>2,049.73</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>3,654,966.82</b>	<b>3,652,917.09</b>	<b>3,654,966.82</b>	<b>2,049.73</b>
<b>Bond Fund</b>											
TEXSTAR	LGIP	TexSTAR	794,296.69	691,253.27	0.00	0.00	0.00	1,485,549.96	794,296.69	1,485,549.96	691,253.27
<b>Total for Bond Fund</b>			<b>794,296.69</b>	<b>691,253.27</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>1,485,549.96</b>	<b>794,296.69</b>	<b>1,485,549.96</b>	<b>691,253.27</b>
<b>Operating Fund</b>											
WF-MANA	BANK DEP	Wells Fargo Managed Rate	1,177,146.08	660.51	0.00	0.00	0.00	1,177,806.59	1,177,146.08	1,177,806.59	660.51
WF-SWEEP	MMF	Wells Fargo Sweep	392,126.95	1,775,394.99	(268,666.20)	0.00	0.00	1,898,855.74	392,126.95	1,898,855.74	1,506,728.79
<b>Total for Operating Fund</b>			<b>1,569,273.03</b>	<b>1,776,055.50</b>	<b>(268,666.20)</b>	<b>0.00</b>	<b>0.00</b>	<b>3,076,662.33</b>	<b>1,569,273.03</b>	<b>3,076,662.33</b>	<b>1,507,389.30</b>
<b>Reserve Fund</b>											
WF-PREM	BANK DEP	Wells Fargo Premium Rate	10,039,410.44	9,788.33	0.00	0.00	0.00	10,049,198.77	10,039,410.44	10,049,198.77	9,788.33
<b>Total for Reserve Fund</b>			<b>10,039,410.44</b>	<b>9,788.33</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>10,049,198.77</b>	<b>10,039,410.44</b>	<b>10,049,198.77</b>	<b>9,788.33</b>
<b>Sales Tax Fund</b>											
TEXSTAR	LGIP	TexSTAR	3,074,216.55	529,984.31	(2,896,897.89)	0.00	0.00	707,302.97	3,074,216.55	707,302.97	(2,366,913.58)
<b>Total for Sales Tax Fund</b>			<b>3,074,216.55</b>	<b>529,984.31</b>	<b>(2,896,897.89)</b>	<b>0.00</b>	<b>0.00</b>	<b>707,302.97</b>	<b>3,074,216.55</b>	<b>707,302.97</b>	<b>(2,366,913.58)</b>
<b>Total for Denton County Transportation Authority</b>			<b>19,130,113.80</b>	<b>3,009,131.14</b>	<b>(3,165,564.09)</b>	<b>0.00</b>	<b>0.00</b>	<b>18,973,680.85</b>	<b>19,130,113.80</b>	<b>18,973,680.85</b>	<b>(156,432.95)</b>

CUSIP	Security Type	Security Description	Beg. Accrued	Interest Earned	Interest Rec'd / Sold / Matured	Interest Purchased	Ending Accrued	Disc Accr / Prem Amort	Net Income
<b>2011 Bond Fund</b>									
WF-MANA	BANK DEP	Wells Fargo Managed Rate	0.00	2,049.73	2,049.73	0.00	0.00	0.00	2,049.73
<b>Total for 2011 Bond Fund</b>			<b>0.00</b>	<b>2,049.73</b>	<b>2,049.73</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,049.73</b>
<b>Bond Fund</b>									
TEXSTAR	LGIP	TexSTAR	0.00	1,253.27	1,253.27	0.00	0.00	0.00	1,253.27
<b>Total for Bond Fund</b>			<b>0.00</b>	<b>1,253.27</b>	<b>1,253.27</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>1,253.27</b>
<b>Operating Fund</b>									
WF-MANA	BANK DEP	Wells Fargo Managed Rate	0.00	660.51	660.51	0.00	0.00	0.00	660.51
<b>Total for Operating Fund</b>			<b>0.00</b>	<b>660.51</b>	<b>660.51</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>660.51</b>
<b>Reserve Fund</b>									
WF-PREM	BANK DEP	Wells Fargo Premium Rate	0.00	9,788.33	9,788.33	0.00	0.00	0.00	9,788.33
<b>Total for Reserve Fund</b>			<b>0.00</b>	<b>9,788.33</b>	<b>9,788.33</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>9,788.33</b>
<b>Sales Tax Fund</b>									
TEXSTAR	LGIP	TexSTAR	0.00	2,325.71	2,325.71	0.00	0.00	0.00	2,325.71
<b>Total for Sales Tax Fund</b>			<b>0.00</b>	<b>2,325.71</b>	<b>2,325.71</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,325.71</b>
<b>Total for Denton County Transportation Authority</b>			<b>0.00</b>	<b>16,077.55</b>	<b>16,077.55</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>16,077.55</b>



**Board of Directors Memo**

July 28, 2016

**Subject: Procurement Status Report**

**Rail O&M Flume Repair**

Staff is developing the procurement solicitation documents and will release the procurement in August. This project has been approved and will be reimbursed with FEMA funds. Award is anticipated at the September meeting.

**Video Production Services**

Staff is developing the procurement solicitation documents for a long-term contract for video production services. DCTA is seeking a qualified video production company to develop high-quality, educational and company-specific videos to positively promote DCTA to Denton County residents and educate customers on various agency services.

Submitted by:

A handwritten signature in blue ink, appearing to read "A. Forrester", is written over a horizontal line. The signature is fluid and cursive.

Athena Forrester, Senior Procurement Manager



## Board of Directors Memo

July 28, 2016

### Subject: Marketing & Communications Update

#### **Customer Service Update**

Multiple initiatives are being executed to improve the overall customer service function, including the promotion of the existing GOResult tool and an upgrade to DCTA's rider alert system.

- **GOResult**
  - New Initiatives – Standard operating procedures, all-staff trainings, data collection and analysis,
  - External Promotion
    - Traditional Online and Mobile Advertising
      - April 18 – May 18, 2016
      - 266,286 Impressions
      - 0.53% Click Through Rate (1,417 delivered clicks)
    - Organic Social Media (February 2016 – June 2016)
      - Total Impressions – 12,079
      - Total Engagements – 33
  - GOResult Metrics (during/after campaign)
    - Total Entries/10K
      - Goal = >3
      - May – 4.03 – Increase of 129% month over month even with a drastic dip in ridership due to the university summer break
      - June – 3.18 – Although a decrease from the prior month, this number still represents an 80.7% increase from months where school was in session
    - Average Days/Closeout
      - Goal = <3.2
      - May – 1.65 – This is the best statistic that has been reported in the last four years
      - June – 1.76 – This is the second best statistic that has been reported in the last four years
  - June 2016 GOResult Metrics
    - Total Entries/10K – 3.18
- **GovDelivery**
  - GovDelivery is the only digital communications platform built exclusively for public sector organizations in order to promote the usage of services, enhance public awareness, and increase the contributions and involvement of citizen communities
  - More than 1,800 organizations worldwide use the GovDelivery Communications Cloud
  - Direct Impact
    - New Rider Alerts – user-selected templated emails, text messages and RSS feed options
    - Email Marketing – creative template and custom reporting available
    - Website/Social Integration – one-click, one message, all platforms
    - Other – database growth, streamlined content promotion, demographic collection, links to other alerts, etc.
    - External Launch – 10/1

#### **A-train 5-Year Anniversary Campaign Overview**

June 18, 2016 marked the A-train's fifth birthday. DCTA celebrated by offering a complimentary free day pass that users could download online, print and redeem. The promotional pass was only valid on the A-train on June 18.

- Timeline: June 6 – June 18
- Budget: \$392
- Social Media Results:
  - Facebook – 51,097 Impressions / 621 Engagements / 970 Clicks to Voucher
  - Twitter – 13,583 Impressions / 220 Engagements
  - Blog – 59 Views / 39 Engagements Via Social Channels
  - Community Partnerships – City of Lewisville, Keep Denton Beautiful, Denton CVB, Best Little Brewfest (Lewisville), Denton Slacker
- Media Relations Results:
  - Total Stories – 10
  - Impressions – 1.7 Million
  - Publicity Value – \$1,100
- Voucher Results:

- Voucher Page Visits – 2,500
- Vouchers Downloaded – 479
- Vouchers Used – 145
- Ridership Results:
  - June 18 Ridership – 826 Passengers
  - Highest Saturday ridership for June 2016
  - 61% increase in A-train ridership YOY
- Follow-up Survey:
  - 56% Current Riders / 44% New Riders
  - 90% Shared the Offer

### **I-35N/A-train Comparison Communications**

A video and topical tidbit social media campaign that intends to showcase the benefits of traveling via the A-train vs driving on I-35 during peak traffic periods.

- Campaign Timing
  - Phase I: August 1 – October 28
  - Phase II: October 31 – December 23
  - Phase III: January 2 – March 31
- Campaign Messaging
  - Destination/Time Comparisons – commute timing, traffic congestion, etc.
  - Activity Comparisons – frustration in traffic/reading, staying alert/texting, etc.
  - Comfort Comparisons – high gas prices/saving money, tension/stretching out, etc.
- Campaign Components
  - Highlight video
  - Social media posts with corresponding creative
  - Blog posts

### **Community Survey Update**

In September 2016, DCTA will conduct its biennial community survey to garner feedback from Denton County residents on their personal preferences. DCTA will utilize this preference analysis to make strategic recommendations regarding the agency's service and the passenger experience.

- DCTA is partnering with the University of North Texas (UNT) to conduct the survey
- The survey will be held during the entire month of September
- The M&C department plans to promote the survey through advertisements, platform/bus stop signage, rider alerts, website, social media, newsletters, media relations, direct mail, and other methods of communication
- DCTA needs Board assistance spreading the word about the community survey

MARKETING & COMMUNICATIONS METRICS					
Activity	Metric	Annual Goal	Monthly	YTD	Goal Met
Brand Impressions					
Community Brand Impressions*	Global Impressions	260,000	4,640	212,765	
	Confirmed Impressions	7,500	555	3,581	
Media Buy Impressions**	Online/Confirmed Impressions	5M	1,212,833	8,065,361	✓
	Online Click Through Rate	.06%	.30%	.22%	
	Print Impressions	2M	273,183	1,691,860	
Media Relations					
Press Coverage	Articles About DCTA	300 Placements	34	377	✓
Media Relations Impressions^	Articles About DCTA	165M	7,331,629	161,301,221	
Publicity Value^^	Articles About DCTA	\$1M	\$22,568	\$546,962	
Headline Highlights	DCTA Celebrating Five Years of A-train Service with Free Passes; Bus Could Link Fort Worth to Denton; Implementing Positive Train Control				
Major Media Outlets	KXAS-TV (NBC 5); Denton Record-Chronicle; Dallas Morning News; Mass Transit; Fort Worth Star-Telegram				
Social Media					
Facebook Likes	Increase in Likes	3,000	902	2,243	
Twitter Followers	Increase in Followers	1,000	154	615	
Digital					
Website	Pageviews	1.5M	87,439	883,236	
	Top 5 Referring Sites	Facebook, DART, UNT, TWU Portal, Denton County			
DCTA Blog	Confirmed Impressions	3,000	942	6,882	✓



	Top 3 Referring Sites	Facebook, Twitter, Denton Record-Chronicle			
Go Pass					
New Downloads	Total Count	170,000	13,667	142,476	
Tickets Sold	Total Count	20,000	2,184	20,227	✓

<b>CUSTOMER SERVICE</b>					
Activity	Metric	Annual Goal	Monthly	YTD	Goal Met
Provide Excellent Customer Service	Calls Answered	>95%	98%	98%	
	Abandoned Calls	<3%	2%	2%	
Where's My Ride	Total Hits†	400,000	42,367	389,361	
	SMS (Texts)	50,000	5,489	73,536	✓
	Calls	15,000	1,747	24,047	✓
GORquest	Avg. Days/Closeout	<3.2	1.76	2.49	
	Total Entries/10K	>3	3.18	1.90	
	Complaints/10K	<5	1.76	1.08	
	Types of Submittals	Complaint – 20; Compliment – 6; Problem – 1; Question – 8; Suggestion – 3			

<b>DISCOUNT SALES PROGRAMS††</b>					
Program	Metric	Annual Goal	Monthly	YTD	Goal Met
Corporate Pass Program	Ticket Sales	N/A	\$0	\$0	N/A
	Business Database	1,000	320	1,519	✓
	Cold Calls	250	131	192	
	Website Pageviews	1,000	62	194	
	Direct Mail Distribution	1,000	0	972	
	Sales Kits Mailed	2			
	Top 3 Referring Sites	Google, Twitter, Bing			
Group Discount Program	Ticket Sales	N/A	\$344	\$2,964	N/A
	Website Pageviews	N/A	32	91	N/A
Non-Profit Discount Program	Ticket Sales	N/A	\$350	\$639	N/A
	Website Pageviews	N/A	48	128	N/A

**Footnote:****\*Community Brand Impressions:**

- Global Impressions are the total number of individuals impacted through events, fairs and sponsorships.
- Confirmed Impressions are the total number of one-on-one interactions experienced through events, fairs and sponsorships.

**\*\*Media Buy Impressions:**

- Online/Confirmed Impressions are the number of individuals who were delivered an online advertisement through marketing campaign efforts.
- Online Click Through Rates are the number of individuals who were delivered an online advertisement, clicked on the advertisements, and visited a directed URL through marketing campaign efforts.

^Media Relations Impressions are the total number of individuals impacted through media relations efforts throughout all communications mediums (i.e. broadcast, print, online, radio, etc.).

^^Publicity Value identifies the financial investment that would be required to receive identical coverage through advertising efforts.

†Where's My Ride "hits" track all visits to the site. Total hits reported are not unique visitors.

††Discount Pass Program Metrics – Annual goals are set based on research and potential program growth. FY '16 marks the first year of tracking metrics of success and will only include partial-year results. Complete goal-setting and metrics tracking will occur in FY '17. Individual web pages live on April 11, 2016.

Approved by: Nicole M. Recker  
 Nicole M. Recker  
 Vice President of Marketing & Communications



## Board of Directors Memo

July 28, 2016

### Subject: Strategic Planning & Development Update

#### **REGIONAL TRANSPORTATION PLANNING**

##### High Intensity Bus Corridors (Regional Express Bus Service)

- These high intensity bus corridors have been incorporated as “proposed” corridors into the 2040 Regional Mobility Transportation Plan which was approved by the RTC in March 2016
- Staff is moving forward on the planning of the 35W corridor

##### 35W Corridor Commuter Service

- Supporting The T on the implementation of initial limited corridor service expected Fall 2016
- Identifying service stop location in Denton and solutions for connections to UNT and TWU
- A public meeting was held in conjunction with the Program Services Committee meeting to collect feedback regarding the service
- A joint funding request with the FWTa for the ultimate High Intensity Bus Corridor Service has been submitted to the NCTCOG

#### **LOCAL TRANSPORTATION PLANNING**

##### Lewisville & Highland Village Service Review & Analysis

- Final recommendations were presented and approved by the board for implementation in August 2016
- Highland Village pilot service was implemented on April 4, 2016

##### Denton Service Review & Analysis

- Near final recommendations were presented at the February Program Services Committee meeting
- Denton service recommendations have an expected phased implementation beginning in 2017
- A proposed implementation plan outlining operating cost and capital needs was presented at the June Program Services Committee meeting

#### **BUSINESS DEVELOPMENT & PARTNERSHIPS**

##### UNT Contract

- UNT's service contract expires August 31, 2016
- Staff is working with UNT to finalize minor modifications necessary for the two-year contract renewal
- Board approved the authorization for the President to execute the agreement with UNT at the March board meeting.
- Upon completion of UNT's Parking & Transportation Study, it is expected a new contract will be necessary outlining new terms and conditions as appropriate to respond to recommendations of that study

##### NCTC Contract

- NCTC's service contract expires August 31, 2016, and has been renewed
- Board approved the authorization for the President to execute the agreement with NCTC at the March board meeting

### City of Frisco Contract

- The City of Frisco service contract expires November 30, 2016
- After initiating contracted On-Demand service in Frisco on December 1, 2015, staff has provided regular updates to City staff regarding ridership, cost control measures and operational data
- Meetings have been held to discuss future service options

### Texas Woman's University

- Staff participated in the university's Strategic Planning initiative in late 2015
- Various TWU departments have engaged DCTA staff in their Strategic Initiatives Planning
- Potential partnership opportunities are being explored to address the university's parking challenges

### Service Expansion within New Service Areas

- Staff is being responsive to requests from non-member cities interested in exploring transit solutions to meet the demands within their communities

### Transportation Network Companies (TNCs)

- Companies like Uber, Lyft, Bridge and other ridesourcing companies have recently become members of the American Public Transportation Association (APTA), recognizing the opportunities that exist for TNCs to partner with transit properties
- DCTA is currently working with Uber to finalize an agreement where both entities can benefit while meeting the needs of communities within our service area, maximizing passenger travel convenience while increasing ridership and operational efficiencies and decreasing operational costs

## ***LAND USE PLANNING & DEVELOPMENT***

### Downtown Denton Parking

- In coordination with the Union Pacific Railroad and the NCTCOG, DCTA is working with City of Denton staff to identify solutions addressing downtown parking shortages, aesthetics, noise mitigation and safety enhancements.

### Hebron Station

- Staff and Huffines Developers have identified solutions for connecting the Hebron 121 Station development to the Hebron station via driveway as well as a bike and pedestrian trail for pedestrian access to the station platform.
- Board approved the authorization for the President to execute the agreement with Huffines at the March board meeting
- The appropriate agreements between both parties are being developed by our legal team to move this project forward
- Staff has submitted a joint development request to the FTA for this project

### Old Town Station

- Staff continues to stay engaged in the City's Main & Mill project to increase pedestrian access to the Old Town Station
- DCTA and the City of Lewisville continue to coordinate efforts in the Old Town area to ensure projects are aligned with the City's vision and priorities


Intermodal Transit Center & Administrative Office Complex

- Huitt-Zollars has presented DCTA a final report for the preliminary planning and conceptual design of a new intermodal transit center anticipated to be located at a Lewisville A-train station.
- This facility will include administrative offices, passenger waiting area, customer service counter and community conference space.
- The facility planning will be coordinated closely with the City of Lewisville to ensure DCTA's plans are consistent and support the City's vision for the surrounding area.
- Preliminary recommendations and conceptual plans were presented at the June Program Services Committee meeting.

**ADDITIONAL PROJECTS**

- Transit Oriented Development Coordination
- Long-Range Planning Efforts
- Rail Trail Extension
- State and Federal Legislative Initiatives
- Grant Funding Opportunities
- 2040 Mobility Plan Engagement
- TxDOT 35W Expansion Coordination

Submitted by: \_\_\_\_\_



Kristina Brevard, VP, Planning & Development

**Board of Directors Memo**  
**Subject: Capital Projects Update**

July 28, 2016

**Signal System Enhancements**

- Network drawings are in progress.

**Positive Train Control (PTC)**

- Project meeting held June 29
- Temporal Separation procedures were reviewed with the FRA and no change was deemed necessary
- Signal Block design change order reviewed
- Circuit plan change order reviewed
- Contract testing requirements were discussed and to be reviewed by Alstom
- Stationing (distances between critical elements) were discussed. Discrepancies in documentation were noted and are being addressed.
- Alstom was advised to complete their Roadway Worker Protection training prior to September 30, 2016
- Alstom was requested to advise of their on-site equipment storage requirements
- 90 % Onboard Hardware Installation Drawings received and in review

**Lewisville Hike and Bike Trail – Eagle Point Section**

- Engineers have submitted plans to TxDOT for final review.
- Final permits from the USACOE for a section south of the Highland Village Station have been received.

**Flood Damage Repairs**

Issue	FEMA Claim	Estimated DCTA Cost	Status
DTA 003G Washout of RipRap and ballast (includes Herzog Emergency response)	\$280,411	\$70,103	Award letter received. Initial invoice sent to TDEM.
DTA 001G and DTA 004G Replace Crossings	\$2,036,198	\$509,050	Form 90-91's received. PW. Specs. are complete. Expect to issue RFP in September.
DTA 002E Bus O&M Electrical repairs*	\$14,616	\$3,654	Complete. Reimbursement received.

DTA 001E Signal & Comm. System	\$122,000	\$6,500	Repair is complete. Insurance and FEMA reimbursement received. Mitigation of the signal house elevation to begin 7/17, complete 7/24.
DTA006G Rail OMF drainage	\$116,079	\$29,019	Small project reimbursement received. Plans are complete. Expect to release RFP in early August.
DTA 002G Undercut Ballast	\$657,652	\$164,413	Will be re-bid in October.
Replace flume at Pockrus Page	\$623,000* \$161,338 **	\$155,750 \$461,662	Appeal filed. Engineers are finalizing repair recommendations. Surveying complete

\* Original claim 290'

\*\* Approved claim

- Quarterly FEMA reports were filed the first week of July.
- No bids received for undercutting. Will rebid in late September.
- Ernst and Young has been notified that DCTA will request an extension for all remaining large projects The appropriate forms are not yet available.
- Total estimated cost of repairs is \$3,065,618. The current estimated DCTA cost is \$776,239.00. This number may vary depending on the results of the appeals and actual cost.

### Transit Enhancements

- Project consists of 5 shelters and one bench in the City of Denton.
- Contract awarded. Staff expects to begin construction on July 18, 2016. Rain has delayed the project start from the original June date.

### Shoretel Phone System Expansion

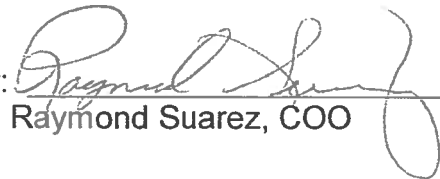
- Peak Uptime is the selected proposer and the contract was awarded during the June board session.
- As of 7/11/16 final contract revisions are being reviewed by legal.
- Approximate time for work to begin after a notice of proceed is given will be 4-5 weeks to allow time for equipment to be ordered.

## **Landscape Project**

### Highland Village/Lewisville Lake Station

- Texas Commission on Environmental Quality (TCEQ) vetoed plan to connect the station platform water supply to irrigation valve. Proceeding with backup plan to connect from new meter to the platform water supply.

Final Review:

  
Raymond Suarez, COO

**Board of Directors Memo**

July 28, 2016

**Subject: Transit Operations Report****SYSTEM ON-TIME PERFORMANCE**

- FY 2016 YTD “On Time Performance” (OTP) for the A-train is 98.83%
- FY 2016 YTD “On Time Performance” (OTP) for Connect service is 86.87%. On-time performance has been adjusted to properly reflect departures from each bus stop as well as the final destination.

**RIDERSHIP PERFORMANCE****Year to Date Change in Ridership by Service Type**

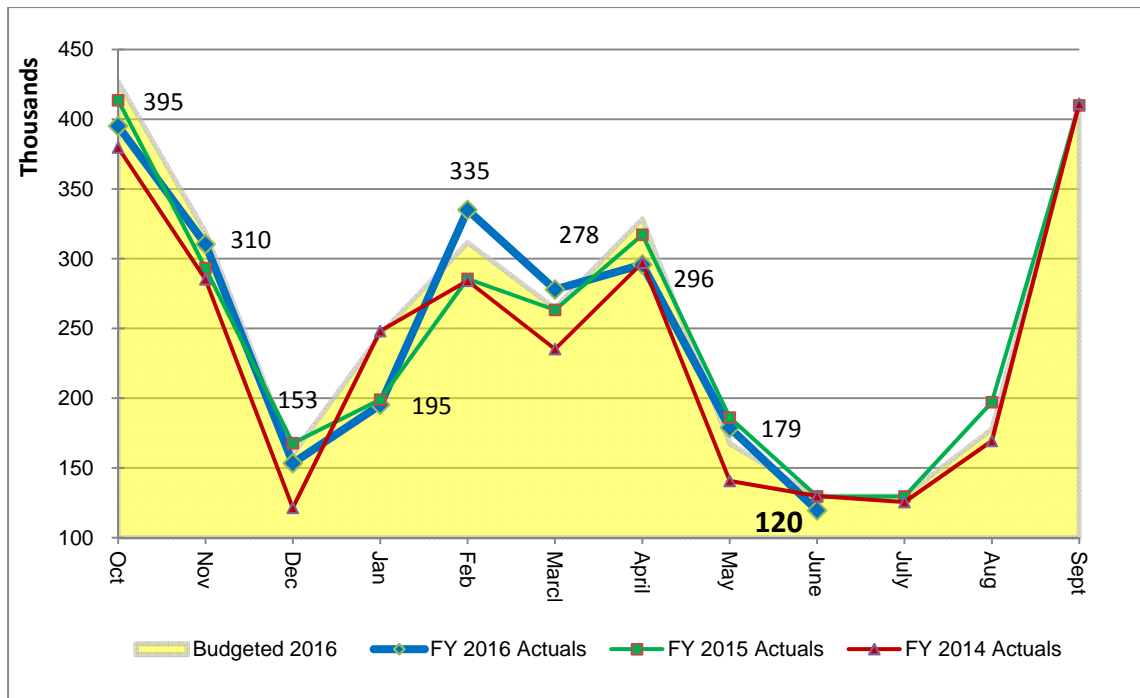
Service	% Change FY 2015 to FY 2016	Color Indicator	Notation No.
All Bus & Rail	0.50%	Green	1
Rail	0.97%	Green	
All Bus	0.12%	Green	
Connect	-1.29%	Yellow	2
UNT	0.32%	Green	
NCTC	16.09%	Green	
Access	0.55%	Green	
Frisco Demand Responsive	N/A		

1. June 2016, boardings for All Bus & Rail increased slightly YTD compared to FY 2015.
2. Connect ridership continues to decline YTD compared to FY 2015. This drop may be attributed to falling gas prices.

**Color Key****Green** indicates positive performance**Yellow** indicates service that will be monitored by staff**Red** indicates poor performance and needs further research



## Rail and All Bus: Total Boardings

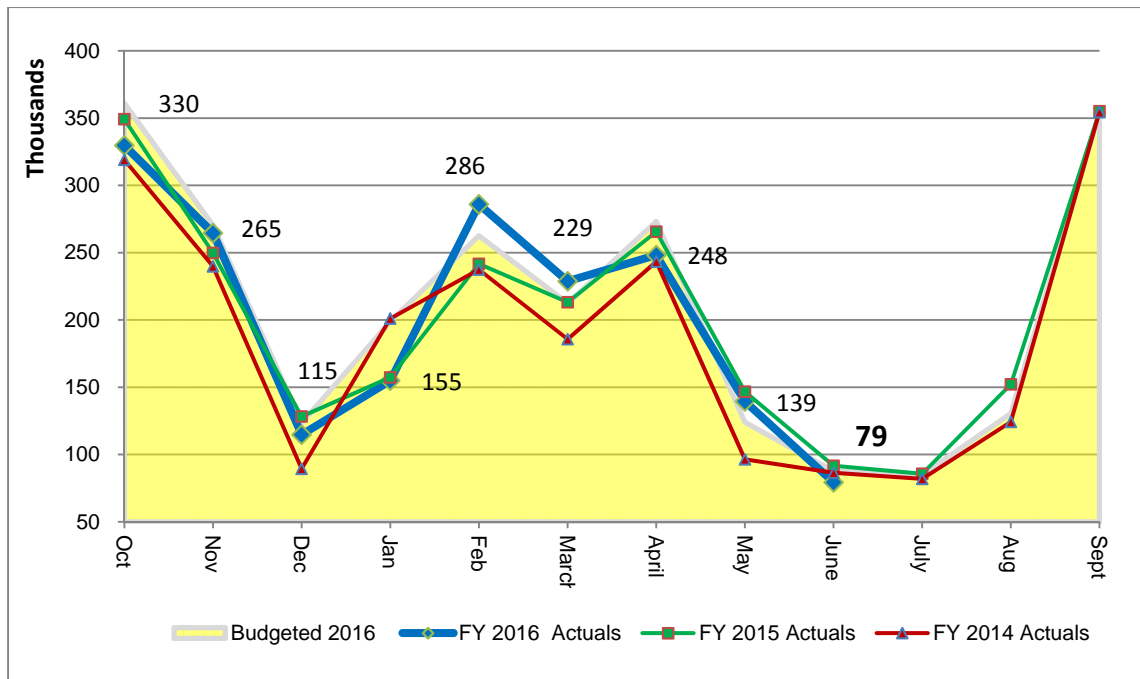


Note: Statistics include A-train, Connect, UNT, NCTC, Access, Connect RSVP, Frisco Demand Response Service, Highland Village Service, and special movement services. For display purposes, the Y axis origin has been modified.

## Rail and All Bus: Total Boardings

- YTD boardings for Rail and All Bus increased by 0.50% (or 11,263 boardings) compared to the same period in FY 2015.
- A large percentage of this growth is attributed to increases in UNT boardings followed by NCTC.

## All Bus: Total Boardings

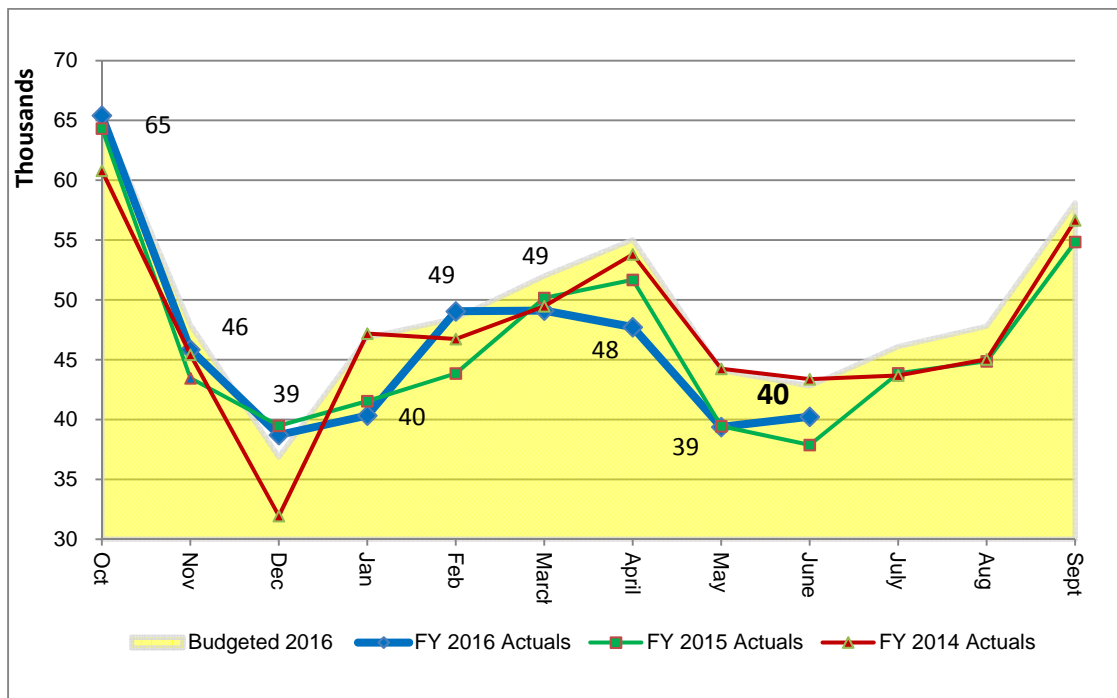


Note: Statistics include Connect, UNT, NCTC, Access, Connect RSVP, Frisco Demand Response Service, Highland Village Service, and special movement services. For display purposes, the Y axis origin has been modified.

### All Bus: Total Boardings

- YTD All Bus boardings increased by 0.12% (or 2,173 boardings) compared to the same period in FY 2015. While YTD FY 2016 had a net increase, only February and March experienced increases in ridership compared to February and March of 2015.
- YTD Connect boardings decreased by 1.29% (or 5,576 boardings) which may be attributed to falling gasoline prices.
- UNT boardings increased by 0.32% (or 4,294 boardings) YTD.
- Growth in UNT boardings may be attributed to two additional summer class days YTD compared to the same period in FY 2015.
- Special Movements in June included transportation for UNT Commencement and orientations.
- YTD NCTC boardings grew by 16.09% (or 2,149 boardings). This increase may be attributed to additional class days and higher enrollment compared to the same period in FY 2015.

## Rail: Total Boardings

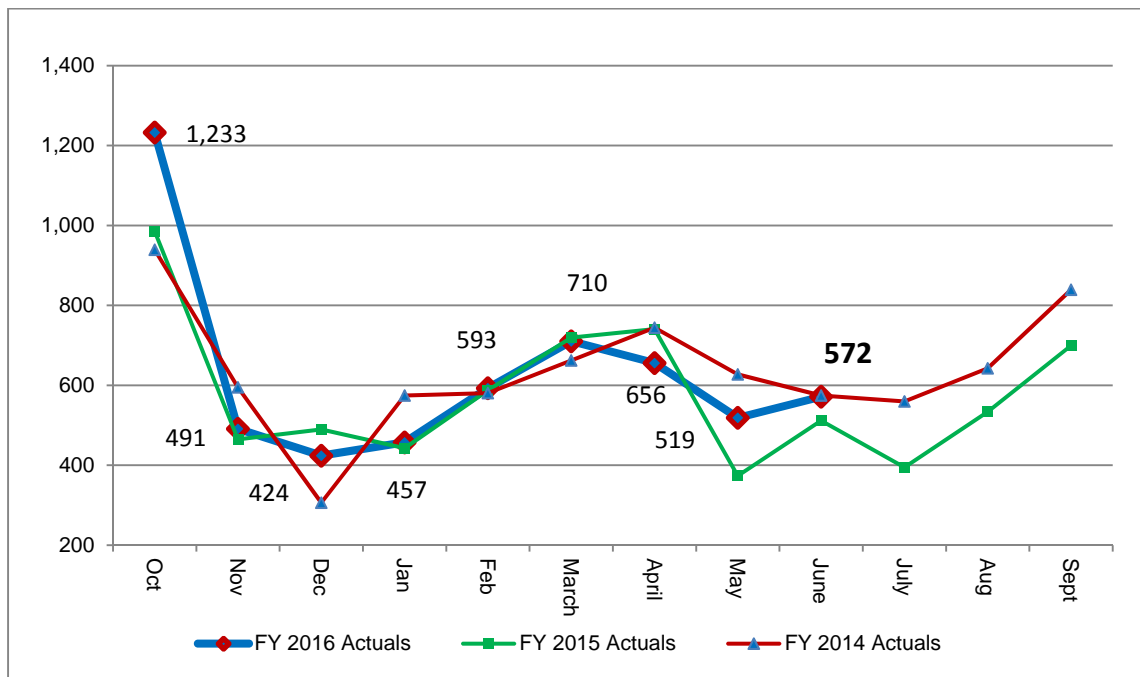


Note: For display purposes, the Y axis origin has been modified.

## Rail: Total Boardings

- YTD, rail boardings increased by 0.97% (or 3,976 boardings) compared to the same period in FY 2015.
- In June 2016, boardings increased by 6.22% (or 2,356 boardings). This increase may be attributed to the A-train Five Year Anniversary celebration and the Best Little Brewfest in Texas event held in Denton on June 18.
- YTD average weekday boardings increased by 5.71% (or 104 boardings per weekday).

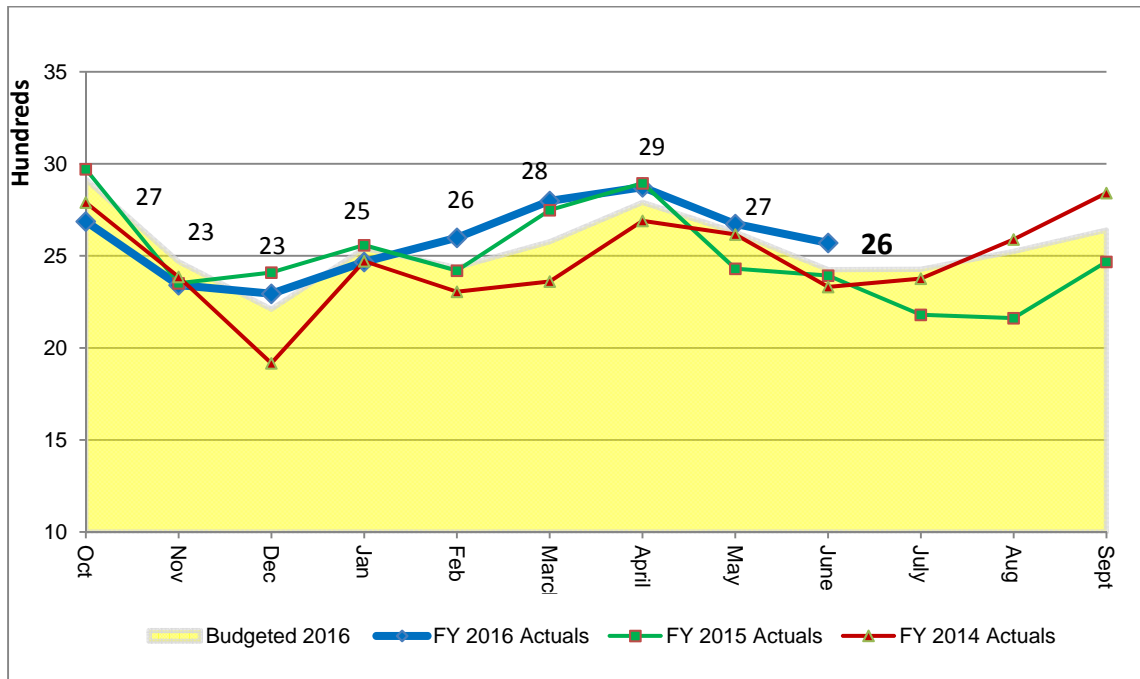
### Rail: Saturday Average Boardings



Note: For display purposes, the Y axis origin has been modified.

- YTD average Saturday boardings increased by 6.39% (or 38 boardings per Saturday) compared to that same period in FY 2015. This increase may be attributed to availability of free A-train passes for Saturday, June 18 as part of the A-train Five Year Anniversary celebration.

### Access: Total Boardings

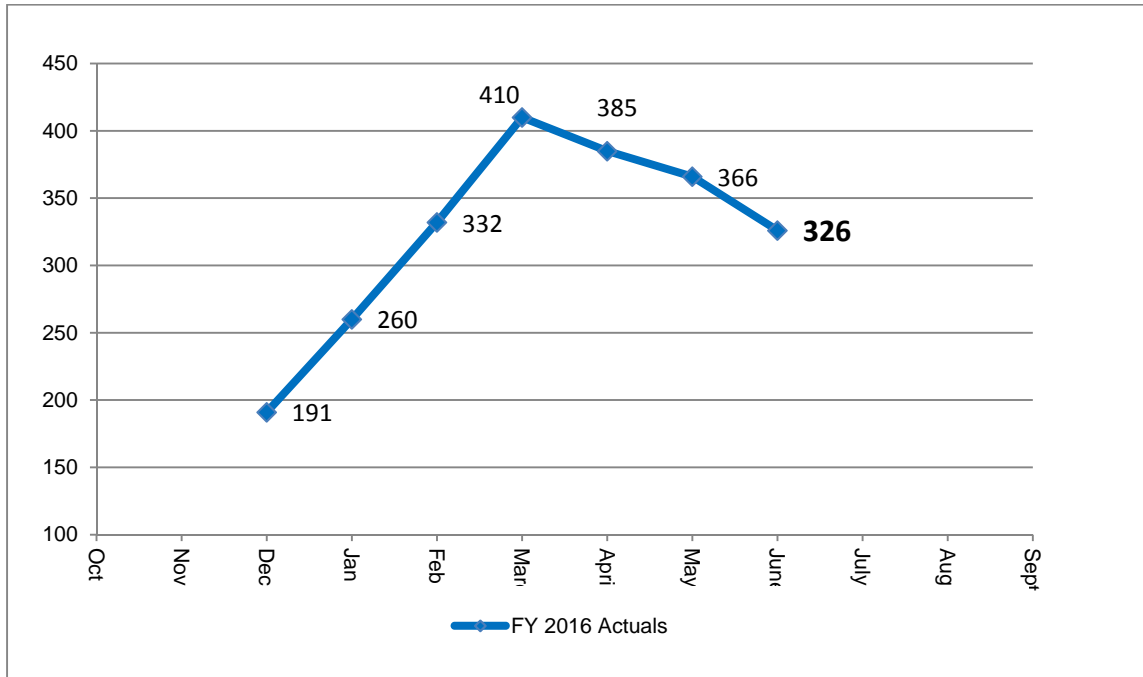


Note: For display purposes, the Y axis origin has been modified.

### Access: Total Boardings

- In June, boardings increased compared to June 2015. This increase has reversed declines in October and November. The net result is that YTD boardings are up by 0.55% (or 127 boardings) compared to the same period in FY 2015.

### Frisco Demand Response Service: Total Boardings



### Frisco Demand Response Service: Total Boardings

- In June, Frisco Demand Response Service had 326 boardings which is a drop of almost 11% (or 40 boardings) relative to May.
- Like other demand responsive services, boardings generally decrease during the summer months as passengers often take less trips due to school being out, vacations, weather, etc.

### Highland Village Services: Total Boardings

- Service was initiated in Highland Village on Monday, April 4.
- Services provided include Community On-Demand Same Day, Community On-Demand Next Day, and Connect Shuttle.
- A total of 310 one-way trips were provided in June 2016.
- Highland Village ridership will be provided in table format (see below) until a full year of data is available for annual comparison purposes.

HIGHLAND VILLAGE SUMMARY REPORT			
<i>April 2016</i>			
Total	Community On-Demand		Connect Shuttle
	Same Day	Next Day	
196	10	138	48
<i>May 2016</i>			
Total	Community On-Demand		Connect Shuttle
	Same Day	Next Day	
286	24	194	68
<i>June 2016</i>			
Total	Community On-Demand		Connect Shuttle
	Same Day	Next Day	
310	31	189	91

**SAFETY/SECURITY**

- DCTA Rail Operations Injury-Free Workdays: 1,517
- DCTA Bus Operations Injury-Free Workdays: 151

**MAINTENANCE**

- **Right of Way:** Routine daily inspections are being performed by the contractor.
- **Signal/Communications:** There were no issues in the month of June.
- **Stations:** DCTA contract operations (HTSI) continues to perform weekly safety inspections, which have not identified any major issues.
- **Rail Mechanical:** DCTA contract operations (HTSI) reported no mechanical failure in June

Final Review:



Michelle Bloomer

Assistant Vice President, Bus Operations and Maintenance





Special Called Meeting of the DCTA Board of Directors  
and the **Finance Committee**  
of the DCTA Board of Directors  
**10:00 a.m., July 25, 2016**  
1955 Lakeway Drive, Suite 260 Conference Room  
Lewisville, TX 75067

### **AGENDA**

NOTICE IS HEREBY GIVEN that there will be a special called meeting of the DCTA Board of Directors and a meeting of the Finance Committee of the DCTA Board of Directors at the time and location above. The DCTA Board of Directors will not conduct its special called meeting if a quorum of the DCTA Board of Directors does not attend however the DCTA Finance Committee will conduct its meeting regardless of the presence of a quorum of the DCTA Board of the Directors. The DCTA Board of Directors and the Finance Committee of the DCTA Board of Directors will consider the following:

#### Welcome and Introductions

#### 1) ITEMS FOR DISCUSSION

- a. Discussion of Proposed Fiscal Year 2017 Budget
  - i. Revenue Projections
  - ii. Operating & Capital Expenditures
  - iii. Review Cash Flow Model
- b. Discuss Finance Committee Calendar 2016-17

#### 2) FORWARD TO BOARD

- a. Recommendation for Proposed Fiscal Year 2017 Operating and Capital Budget

#### 3) ADJOURN

#### **Finance Committee**

**Chair** – Dave Kovatch

**Members** – Richard Huckaby, Connie White, Tom Winterburn

**Staff Liaison** – Anna Mosqueda – CFO

The Denton County Transportation Authority meeting rooms are wheelchair accessible. Access to the building and special parking are available at the east entrance. Requests for sign interpreters or special services must be received forty-eight (48) hours prior to the meeting time by emailing [rdurham@dcta.net](mailto:rdurham@dcta.net) or calling Robin Durham at 972-221-4600.

This notice was posted on 7/27/2016 at 10:56 AM.

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Robin Durham, Administrative Assistant

Denton County Transportation Authority

# **DCTA**



Your Future Is Riding On Us.



# Finance Committee

July 25, 2016

Chairman: Dave Kovatch

Members: Tom Winterburn, Connie White, Richard Huckaby



# Today's Agenda

- FY17 Proposed Budget
  - Cash Flow Model Overview
  - Revenue Projections
  - Operating Budget
    - Base-line Budget Assumptions
    - Expanded Level Projects
  - Capital Projects
  - Discussion / Review Path Forward
  - FY17 Proposed Budget recommendation to Board for review & Public Hearing

# Proposed Service Level Changes

- Highland Village Changes – COMPLETE
- Lewisville COA – August 2016
- 35W(Denton-Ft. Worth) – October 2016
- Denton COA Phase 1 – January 2017
- Rail Service Placeholder – August 2017
- Denton COA Phase 2 – January 2018

# Proposed FY17 Revenues

## Operating – Bus

- Based on 12-month rolling average
- Connect Avg. Fare \$.95
- UNT contract rate \$49 per revenue hour
- NCTC contract rate \$42.80 (5% increase) per revenue hour
- Fuel at \$3.00 – direct pass thru

<i>Bus Operations</i>				
Revenue Source	FY15 Actuals	FY16 Budget	Actuals as of June 30, 2016	Proposed FY17
<b>Passenger Revenues</b>				
Connect	\$ 558,017	\$ 591,052	\$ 408,226	\$ 560,511
Frisco	\$ -	\$ -	\$ 3,564	\$ -
Access	83,860	77,179	61,165	76,360
UNT	30,918	-	-	-
NCTC		-	-	-
<b>Total Passenger Revenues</b>	<b>672,795</b>	<b>668,232</b>	<b>472,956</b>	<b>636,871</b>
<b>Contract Services</b>				
Connect	\$ 57,000	\$ 49,000	\$ 8,000	\$ 49,000
Frisco	\$ -	\$ -	\$ 197,736	\$ 242,084
UNT	2,345,817	2,473,267	2,117,208	2,686,482
<i>UNT Fuel (Direct Pass Thru)</i>	<i>347,586</i>	<i>524,822</i>	<i>174,870</i>	<i>406,203</i>
NCTC	162,124	162,956	148,425	198,790
<i>NCTC Fuel (Direct Pass Thru)</i>	<i>22,843</i>	<i>53,642</i>	<i>12,536</i>	<i>67,428</i>
<b>Total Contract Services</b>	<b>2,935,371</b>	<b>3,263,687</b>	<b>2,658,775</b>	<b>3,649,987</b>
<b>Total</b>	<b>\$ 3,608,166</b>	<b>\$ 3,931,919</b>	<b>\$ 3,131,731</b>	<b>\$ 4,286,858</b>

# Proposed FY17 Revenues

## Operating – Rail

<i>Rail Operations</i>				
Revenue Source	FY15 Actuals	FY16 Budget	Actuals as of June 30, 2016	Proposed FY17
Rail Farebox	\$ 806,043	\$ 874,678	\$ 585,444	\$ 809,137
<b>Total</b>	<b>\$ 806,043</b>	<b>\$ 874,678</b>	<b>\$ 585,444</b>	<b>\$ 809,137</b>

- Rail ridership based on a 12 month rolling average
- Average Fare \$1.45

# Proposed FY17 Revenues

## Non-Operating

<i>G&amp;A</i>				
Revenue Source	FY15 Actuals	FY16 Budget	Actuals as of June 30, 2016	Proposed FY17
Interest Income	\$ 24,772	\$ 20,000	\$ 42,585	\$ 40,000
Fare Evasion Fee	1,275	1,500	1,725	1,500
Sales Tax	23,261,746	23,067,404	17,994,003	24,624,601
Federal Operating Grants	4,942,308	4,643,442	964,356	5,626,928
Federal Capital Grants	1,285,089	6,109,915	3,217,202	9,733,804
State Operating Grants	43,600	-	73,596	-
State Capital Grants	1,351,867	-	243,892	2,844,087
Debt Issuance	-	-	-	-
<b>Total</b>	<b>\$ 30,910,657</b>	<b>\$ 33,842,261</b>	<b>\$ 22,537,358</b>	<b>\$ 42,870,920</b>

- Sales Tax @ 4% for Proposed FY17 and remaining out years of CFM
  - Based on FY16 sales tax projection: Actuals received for Oct-April; Budget May-Sept



# Proposed FY17 Revenues

## Grants – Capital Detail

- PTC - \$5.98M
- Fleet Replacement - \$2.57M
- COA - \$117K
- Cognos Software - \$160k
- Bus Scheduling Software - \$184k
- Transit Enhancements - \$51k
- Bus Fleet Cameras - \$102k
- Safety & Security - \$112k
- Hike & Bike
  - Eagle Point - \$2.08M
- FEMA Funds - \$2.13M

# Proposed FY17 Revenues

## Sales Tax Revenue

Sales Generated in Month of:	Received in Month of:	2015-2016 Year Budget Projection (Actuals thru June allocation)	FY17 Budget 4% over projected 2016
October	December	\$ 1,821,854	\$ 2,059,694
November	January	\$ 1,959,303	\$ 1,868,958
December	February	\$ 2,669,055	\$ 2,576,027
January	March	\$ 1,637,497	\$ 1,844,463
February	April	\$ 1,776,656	\$ 1,658,601
March	May	\$ 2,340,336	\$ 2,195,698
April	June	\$ 1,955,110	\$ 1,909,645
May	July	\$ 1,809,657	\$ 1,860,507
June	August	\$ 2,009,992	\$ 2,227,399
July	September	\$ 1,755,537	\$ 1,932,950
August	October	\$ 1,865,219	\$ 2,205,009
September	November	\$ 2,077,285	\$ 2,285,650
<i>YTD Total</i>		<i>\$ 23,677,501</i>	<i>\$ 24,624,601</i>

# Proposed FY17 Budget-In-Brief

## Base-line Assumptions

- DCTA
  - Merit Pay – 3.5% (\$59,928)
  - Pay Plan Adjustment - \$25,000
- TMDC
  - Non-Union – 3% merit (\$53,550)
  - Union (bus operators) – per union contract avg. \$16.61/hr.
- Fuel
  - \$3.00/gallon (Bus - 380k gallons)
  - \$2.75/gallon (Rail - 400k gallons)
    - \*Increased fuel stabilization fund to \$450k

# Proposed FY17 Budget-In-Brief

## Base-line Assumptions

- Health Care Costs Increase
  - DCTA
    - Assumes 10% increase in benefit rate
    - Additional positions added
      - Grants Manager (Vacant in FY16)
      - Senior Planner (Hired in July 2016)
      - Payroll & Benefits Coordinator (PT in 2016)
  - TMDC
    - Assumes 15% increase in benefit rate
    - Additional positions added
      - 2 Mechanics

# Proposed FY17 Budget

## Expanded Level Projects - Operating

- Staffing
  - Marketing Interns (2) - \$33,460
  - Mechanic (2) - \$141,360
  - Payroll & Benefits Coordinator (PT to FT) - \$51,223 (Net Impact)
- Memberships
  - Texas Transit Association - \$9,250
  - NCTRCA Membership - \$30,000
    - Will allow staff access to a DBE database for procurement activities.

# Proposed FY17 Budget

## Expanded Level Projects - Operating

- Passenger Amenities
  - UNT Bus Stop Poles/Signage - \$58,900
- Service Enhancements
  - 35W-Denton-Ft.Worth (Oct '16) - \$450k
  - Denton COA Phase 1 (Jan '17) - \$150k (\$200k annual)
  - Rail Service Placeholder (Aug '17) - \$41,667 (\$250k annual)
  - Denton COA Phase 2 (Jan '18) - \$315k in 2018 (\$420k annual)

# FY17 STATEMENT OF CHANGE IN NET POSITION

# FY17 Statement of Change in Net Position

## G&A Operating Expenses

Description	FY15 Actuals	FY16 Original Budget	FY16 Revised Budget	Actuals as of June 30, 2016	FY17 Proposed Budget	\$ Increase / (Decrease)	% Increase / (Decrease)
<b>GENERAL &amp; ADMINISTRATIVE</b>							
Salary, Wages and Benefits	2,103,634	2,643,498	2,534,126	1,745,104	3,093,757	559,632	22%
Services	948,337	1,412,474	1,508,500	809,502	1,574,450	65,950	4%
Materials and Supplies	172,635	239,700	239,700	106,470	118,982	(120,718)	-50%
Utilities	31,814	54,240	54,240	11,792	27,600	(26,640)	-49%
Insurance, Casualties and Losses	11,639	11,626	11,626	8,955	13,598	1,972	17%
Purchased Transportation Services	158,025	206,550	206,550	159,375	187,368	(19,182)	-9%
Miscellaneous	134,748	219,694	206,729	81,031	312,560	105,832	51%
Leases and Rentals	116,104	107,976	107,976	97,268	123,981	16,005	15%
Depreciation	-	33,333	33,333	-	28,333	(5,000)	-15%
Subtotal - G&A	3,676,937	4,929,092	4,902,779	3,019,496	5,480,630	577,851	12%



# FY17 Statement of Change in Net Position

## *Bus Operating Expenses*

Description	FY15 Actuals	FY16 Original Budget	FY16 Revised Budget	Actuals as of June 30, 2016	FY17 Proposed Budget	\$ Increase / (Decrease)	% Increase / (Decrease)
<b>BUS SERVICES</b>							
Salary, Wages and Benefits	5,957,867	6,463,161	6,584,471	4,875,283	7,623,917	1,039,446	16%
Services	566,898	714,150	775,608	504,474	755,541	(20,067)	-3%
Materials and Supplies	1,449,666	2,242,584	1,593,023	835,499	2,161,725	568,702	36%
Utilities	149,843	176,732	176,732	104,651	164,620	(12,112)	-7%
Insurance, Casualties and Losses	282,526	289,255	289,255	227,879	316,956	27,701	10%
Purchased Transportation Services	-	-	-	-	-	-	0%
Miscellaneous	30,854	47,960	48,010	20,174	58,100	10,090	21%
Leases and Rentals	6,443	6,000	34,000	24,334	43,308	9,308	27%
Depreciation	1,628,053	1,917,896	1,953,887	1,210,258	2,262,289	308,402	16%
Subtotal - Bus Services	10,072,150	11,857,738	11,454,986	7,802,552	13,386,455	1,931,470	17%

# FY17 Statement of Change in Net Position

## *Rail Operating Expenses*

Description	FY15 Actuals	FY16 Original Budget	FY16 Revised Budget	Actuals as of June 30, 2016	FY17 Proposed Budget	\$ Increase / (Decrease)	% Increase / (Decrease)
<b>RAIL SERVICES</b>							
Salary, Wages and Benefits	277,509	287,591	299,419	223,201	315,252	15,833	5%
Services	1,206,941	298,160	269,775	319,716	276,155	6,380	2%
Materials and Supplies	906,439	1,301,000	642,799	434,667	1,118,667	475,868	74%
Utilities	290,734	280,389	280,389	150,378	289,044	8,655	3%
Insurance, Casualties and Losses	485,946	499,334	499,334	377,566	523,640	24,306	5%
Purchased Transportation Services	9,922,894	11,427,074	10,427,074	7,628,423	9,375,986	(1,051,088)	-10%
Miscellaneous	20,996	13,693	13,693	10,554	14,745	1,053	8%
Leases and Rentals	2,099	102,207	2,207	1,655	2,208	1	0%
Depreciation	7,709,452	8,029,976	8,029,976	5,424,467	8,049,118	19,142	0%
Subtotal - Rail Services	20,823,009	22,239,424	20,464,666	14,570,626	19,964,815	(499,851)	-2%

\*Purchased Transportation based on current "Best and Final Offer" received from First Transit

# FY17 Statement of Change in Net Position

## *Non-Operating Revenues (Expenses)*

Description	FY15 Actuals	FY16 Original Budget	FY16 Revised Budget	Actuals as of June 30, 2016	FY17 Proposed Budget	\$ Increase / (Decrease)	% Increase / (Decrease)
<b>Non-Operating Revenues / (Expense)</b>							
Investment Income	24,772	20,000	20,000	42,585	40,000	20,000	100%
Non-Operating Revenues / (Expense)	147,360	1,500	1,500	109,583	1,500	-	0%
Sales Tax Revenue	23,261,746	23,067,404	23,067,403	17,994,003	24,624,601	1,557,198	7%
Federal Grants & Reimbursements	6,227,397	10,753,357	12,625,651	4,181,558	15,360,732	2,735,081	22%
State Grants & Reimbursements	1,395,467	-	227,029	317,488	2,844,087	2,617,058	1153%
Long Term Debt Interest/Expense	(1,211,899)	(1,156,422)	(1,156,422)	(867,124)	(1,098,412)	58,010	-5%
<b>Total Non-Operating Revenue / (Expense)</b>	<b>29,844,844</b>	<b>32,685,839</b>	<b>34,785,161</b>	<b>21,778,093</b>	<b>41,772,508</b>	<b>6,987,347</b>	<b>20%</b>

# Proposed FY17 Budget Capital

- Capital {New}
  - AVL & Farebox Systems - \$200k
  - IOMF Lewisville Fuel Tanks - \$250k
  - Safety & Security (Agency-Wide) - \$250k (Partially Grant Funded)
  - Infrastructure Acquisition - \$1.9M
  - Project Management/Document Control - \$150,000

# Proposed FY17 Budget

## Capital

- Capital {Roll-Over}
  - Fleet {2016} – \$1,250,000 (on order – 85% grant funded)
  - Comprehensive Service Analysis - \$146,815 (80% grant funded)
  - Transit Enhancements - \$59,817 (80% grant funded)
  - Bus Scheduling Software - \$230k (80% grant funded)
  - Financial Reporting (COGNOS) - \$200k (80% grant funded)
  - T. Mills Crew Facility - \$100,000
  - Bus Fleet Cameras - \$149,500 (\$102k grant funded)
  - Lewisville Hike & Bike Trail - \$950,000 (80% grant funded)

# Proposed FY17 Budget Capital

- Capital {Roll-Over}
  - Rail Facility Flume Repair - \$240,482 (FEMA)
  - Grade Crossing Replacements - \$2,057,760 (FEMA)
  - Pockrus Page - \$548,000 (FEMA)
  - Trail Safety Improvements - \$139,657
  - Fare Collection Systems - \$69,161
  - Eagle Point Hike & Bike Trail - \$2,895,873

# Next Steps

## – August

- Proposed FY17 Operating & Capital Budget
- Board Presentation & Public Hearing

## – September

- Incorporate Public Hearing Feedback
- Formal adoption of FY17 Operating & Capital Budget
  - Accompanying Cash Flow Model

# FY17 Budget Revenue Projections

Finance Committee 1(a)i

<i>Bus Operations</i>					
Revenue Source	FY15 Actuals	FY16 Original Budget	Actuals as of June 30, 2016	Proposed FY17	
Passenger Revenues					
Connect	\$ 558,017	\$ 591,052	\$ 408,226	\$	560,511
Frisco	\$ -	\$ -	\$ 3,564	\$	-
Access	83,860	77,179	61,165		76,360
UNT	30,918	-	-		-
NCTC		-	-		-
Total Passenger Revenues	672,795	668,232	472,956		636,871
Contract Services					
Connect	\$ 57,000	\$ 49,000	\$ 8,000	\$	49,000
Frisco	\$ -	\$ -	\$ 197,736	\$	242,084
UNT	2,345,817	2,473,267	2,117,208		2,686,482
<i>UNT Fuel (Direct Pass Thru)</i>	<i>347,586</i>	<i>524,822</i>	<i>174,870</i>		<i>406,203</i>
NCTC	162,124	162,956	148,425		198,790
<i>NCTC Fuel (Direct Pass Thru)</i>	<i>22,843</i>	<i>53,642</i>	<i>12,536</i>		<i>67,428</i>
Total Contract Services	2,935,371	3,263,687	2,658,775		3,649,987
<b>Total</b>	<b>\$ 3,608,166</b>	<b>\$ 3,931,919</b>	<b>\$ 3,131,731</b>	<b>\$</b>	<b>4,286,858</b>
<i>Rail Operations</i>					
Revenue Source	FY15 Actuals	FY16 Budget	Actuals as of June 30, 2016	Proposed FY17	
Rail Farebox	\$ 806,043	\$ 874,678	\$ 585,444	\$	809,137
<b>Total</b>	<b>\$ 806,043</b>	<b>\$ 874,678</b>	<b>\$ 585,444</b>	<b>\$</b>	<b>809,137</b>
<i>G&amp;A</i>					
Revenue Source	FY15 Actuals	FY16 Budget	Actuals as of June 30, 2016	Proposed FY17	
Interest Income	\$ 24,772	\$ 20,000	\$ 42,585	\$	40,000
Fare Evasion Fee	1,275	1,500	1,725		1,500
Sales Tax	23,261,746	23,067,404	17,994,003		24,624,601
Federal Operating Grants	4,942,308	4,643,442	964,356		5,626,928
Federal Capital Grants	1,285,089	6,109,915	3,217,202		9,733,804
State Operating Grants	43,600	-	73,596		-
State Capital Grants	1,351,867	-	243,892		2,844,087
Debt Issuance	-	-	-		-
<b>Total</b>	<b>\$ 30,910,657</b>	<b>\$ 33,842,261</b>	<b>\$ 22,537,358</b>	<b>\$</b>	<b>42,870,920</b>
<b>TOTAL REVENUES</b>	<b>\$ 35,324,866</b>	<b>\$ 38,648,858</b>	<b>\$ 26,254,533</b>	<b>\$</b>	<b>47,966,915</b>



Denton County Transportation Authority  
Proposed Fiscal Year 2017 Budget  
(Including Depreciation)

Description	FY15 Actuals	FY16 Original Budget	FY16 Revised Budget	Actuals as of June 30, 2016	FY17 Proposed Budget	\$ Increase / (Decrease)	% Increase / (Decrease)	Notes
								**Comparison is between the FY16 Revised Budget and the FY17 Proposed Budget**
Revenue & Other Income								
Passenger Revenues (Bus Farebox)	672,795	668,231	668,231	472,956	636,871	(31,360)	-5%	Based on a 12 month rolling average
Passenger Revenues (Rail Farebox)	806,043	874,678	874,678	585,444	809,137	(65,541)	-7%	Based on a 12 month rolling average
Contract Service Revenue	2,935,371	3,263,687	3,534,517	2,658,775	3,649,987	115,470	3%	Based on current contract rates - includes NCTC, UNT and Frisco contract service
Total Revenue & Other Income	4,414,209	4,806,596	5,077,426	3,717,175	5,095,995	18,569	0%	
GENERAL & ADMINISTRATIVE								
								Merit @ 3.5% (\$60k); Annual pay plan adjustment at \$25k; Salaries based on completed 2016 Compensation Plan. Health benefits assume a 10% increase
Salary, Wages and Benefits	2,103,634	2,643,498	2,534,126	1,745,104	3,093,757	559,632	22%	Expanded Level Positions Included: FT HR Position (currently PT w/no benefits), 2 additional Marketing Interns
Services	948,337	1,412,474	1,508,500	809,502	1,574,450	65,950	4%	Position variances: Senior Planner (filled in July 2016), Grants Manager (vacant)
Materials and Supplies	172,635	239,700	239,700	106,470	118,982	(120,718)	-50%	Vanpool fuel expense will be passed on to the consumer in FY17; grant funding only allows for lease expense to be reimbursed
Utilities	31,814	54,240	54,240	11,792	27,600	(26,640)	-49%	Decrease from re-allocation of Where's My Ride data costs to 500 (Bus) and 620 (Rail) budgets
Insurance, Casualties and Losses	11,639	11,626	11,626	8,955	13,598	1,972	17%	Based 5% increase of our current rates
Purchased Transportation Services	158,025	206,550	206,550	159,375	187,368	(19,182)	-9%	Vanpool purchased contract - lease expense based on new contract
Miscellaneous	134,748	219,694	206,729	81,031	312,560	105,832	51%	\$20k for Tuition Reimbursement; \$30k for NCTRCA Membership; \$9,250 Texas Transit Assoc Membership. We are continuing to refine the travel and contingency line items.
Leases and Rentals	116,104	107,976	107,976	97,268	123,981	16,005	15%	Includes Lakeway lease, copier leases as well as the allocation for common area maintenance at \$30k
Depreciation	-	33,333	33,333	-	28,333	(5,000)	-15%	Based on project closure projections
Subtotal - G&A	3,676,937	4,929,092	4,902,779	3,019,496	5,480,630	577,851	12%	
BUS SERVICES								
Salary, Wages and Benefits	5,957,867	6,463,161	6,584,471	4,875,283	7,623,917	1,039,446	16%	Includes 3% merit for non-operators (\$54k); Assumes a 15% in benefits (\$165k); 2 additional Mechanic positions included for 2017 (\$141k); Avg. driver hourly rate went from \$16.28 (FY16 budget) to \$16.61.
Services	566,898	714,150	775,608	504,474	755,541	(20,067)	-3%	
Materials and Supplies	1,449,666	2,242,584	1,593,023	835,499	2,161,725	568,702	36%	Fuel budgeted @ \$3.00/gallon for bus with an increase to the Fuel Stabilization Fund to \$450k; FY16 revised we realized savings and adjusted the rate to \$1.75 based on trend. Savings were used to increase Capital Infrastructure Fund.
Utilities	149,843	176,732	176,732	104,651	164,620	(12,112)	-7%	Based on current expenses
Insurance, Casualties and Losses	282,526	289,255	289,255	227,879	316,956	27,701	10%	Based 5% increase of our current rates
Purchased Transportation Services	-	-	-	-	-	-	0%	
Miscellaneous	30,854	47,960	48,010	20,174	58,100	10,090	21%	\$4k increase for toll fees related to Frisco service; Additional training for supervisors - online & NTI classes
Leases and Rentals	6,443	6,000	34,000	24,334	43,308	9,308	27%	FWTA two bus leases (\$1,554.50 each/month)
Depreciation	1,628,053	1,917,896	1,953,887	1,210,258	2,262,289	308,402	16%	Based on project closure projections
Subtotal - Bus Services	10,072,150	11,857,738	11,454,986	7,802,552	13,386,455	1,931,470	17%	
RAIL SERVICES								
Salary, Wages and Benefits	277,509	287,591	299,419	223,201	315,252	15,833	5%	Merit Pay @ 3.5%; Benefit increase @ 10%; Salaries based on FY16 comp plan rates adopted at revised budget.
Services	1,206,941	298,160	269,775	319,716	276,155	6,380	2%	
Materials and Supplies	906,439	1,301,000	642,799	434,667	1,118,667	475,868	74%	Fuel budgeted @ \$2.75/gallon for rail with an increase to the Fuel Stabilization Fund to \$450k; FY16 revised we realized savings and adjusted the rate to \$1.50 based on trend. Savings were used to increase Capital Infrastructure Fund.
Utilities	290,734	280,389	280,389	150,378	289,044	8,655	3%	
Insurance, Casualties and Losses	485,946	499,334	499,334	377,566	523,640	24,306	5%	Based 5% increase of our current rates
Purchased Transportation Services	9,922,894	11,427,074	10,427,074	7,628,423	9,375,986	(1,051,088)	-10%	Purchased transportation based on First Transit BAFO contract
Miscellaneous	20,996	13,693	13,693	10,554	14,745	1,053	8%	
Leases and Rentals	2,099	102,207	2,207	1,655	2,208	1	0%	
Depreciation	7,709,452	8,029,976	8,029,976	5,424,467	8,049,118	19,142	0%	Based on project closure projections
Subtotal - Rail Services	20,823,009	22,239,424	20,464,666	14,570,626	19,964,815	(499,851)	-2%	
Total Operating Expenses	34,572,095	39,026,254	36,822,430	25,392,674	38,831,900	2,009,470	5%	
Income Before Non-operating Revenue & Exp	(30,157,886)	(34,219,658)	(31,745,004)	(21,675,499)	(33,735,905)			
Non-Operating Revenues / (Expense)								
Investment Income	24,772	20,000	20,000	42,585	40,000	20,000	100%	Based on current interest earned
Non-Operating Revenues / (Expense)	147,360	1,500	1,500	109,583	1,500	-	0%	
Sales Tax Revenue	23,261,746	23,067,404	23,067,403	17,994,003	24,624,601	1,557,198	7%	4% increase of a forecasted sales tax for FY16 - Includes FY16 actual collections from Oct - April; budget for months May - September
Federal Grants & Reimbursements	6,227,397	10,753,357	12,625,651	4,181,558	15,360,732	2,735,081	22%	Increase is due to capital project schedules anticipated to roll into FY17; PTC related grants are budgeted at \$5.98M for FY17 based on project schedule
State Grants & Reimbursements	1,395,467	-	227,029	317,488	2,844,087	2,617,058	1153%	TxDOT grant funds for the remaining Hike & Bike Trail and the Eagle Point project
Debt Issuance	-	-	-	-	-	-		
Long Term Debt Interest/Expense	(1,211,899)	(1,156,422)	(1,156,422)	(867,124)	(1,098,412)	58,010	-5%	Based on debt schedules
Total Non-Operating Revenue / (Expense)	29,844,844	32,685,839	34,785,161	21,778,093	41,772,508	6,987,347	20%	
Change in Net Position	(313,042)	(1,533,819)	3,040,157	102,593	8,036,603			
Net Position - Beginning of Year:					21,629,394			
Net Position - End of Year:					29,665,997			
Transfer to Capital Projects					(24,793,967)			
Net Position After Capital Project Transfer					4,872,030			

# Capital Improvement Plan

Finance Committee 1(a)ii

Project Name	Project Number	Project Budget	Project LTD thru FY 2014	FY 2015 Actuals	FY 2016 Projected	FY 2017 Proposed	FY 2018	FY 2019	FY 2020	Anticipated Project Total (Thru 2020)
<b>Bus Services</b>										
Bus Operations & Maintenance Facility	50301	11,497,555	11,328,630	635	168,290					11,497,555
Fleet Replacement {2015}	50505	1,634,000		62,000	1,572,000					1,634,000
Bus Radios	50408	106,753	2,332	104,422						106,753
Scheduling Software	50601	250,000			20,000	230,000				250,000
Transit Enhancements {2015-2016}	50204	165,000		4,601	100,582	59,817				165,000
Bus Fleet Cameras	50409	149,500				149,500				149,500
Fleet {2016}	50507	1,250,000				2,800,000				2,800,000
TAPS Bus Purchase	50508	139,000			139,000					139,000
AVL & Farebox Systems	NEW	200,000	-	-	-	200,000	-	-	-	200,000
IOMF Lewisville - Fuel Tanks	NEW	250,000	-	-	-	250,000	250,000	-	-	500,000
Fleet {Out Years}			-	-	-	-	3,300,000	2,400,000	2,500,000	8,200,000
Fleet Farebox Replacement			-	-	-	-	-	1,200,000	-	1,200,000
<b>Rail Services</b>										
Passenger Information	60701	96,821	57,670	3,740	35,410					96,821
Positive Train Control Preparation	61406	1,346,527	1,170,664	175,863						1,346,527
Positive Train Control Implementation	61406.1	16,720,141			3,374,992	7,486,472	5,858,677			16,720,141
Lewisville Bike Trail	61708	3,099,856	649,338	1,329,081	171,437	950,000				3,099,856
Rail Maintenance of Equipment	61712	1,302,627	137,849	826,407	338,371					1,302,627
Rail Single Car Operations	61503	26,221	22,169	4,052						26,221
GTW Wheel Work	61505	915,041		441,057	473,984					915,041
Shunt Enhancement	61407	96,546		96,546						96,546
T. Mills Crew Facility	61209	250,000		32,528	117,472	100,000				250,000
Station Landscaping	61210	485,000		25,455	459,545					485,000
Rail Drainage Improvement	61104	429,980		396,470	33,510					429,980
Rail Mobilization {2015}	61604	2,119,517			2,119,517					2,119,517
Disaster Recovery	61713	300,000		215,791	84,209					300,000
Rail Facility Flume Repair {2015 Flood}	61713.1	325,482			85,000	240,482				325,482
Grade Crossing Replacements {2015 Flood}	61713.2	2,157,760			100,000	2,057,760				2,157,760
Hebron Signal House {2015 Flood}	61713.3	122,000			122,000					122,000
Ballast Undercutting {2015 Flood}	61713.4	761,600			761,600					761,600
Pockrus Page {2015 Flood}	61713.5	623,000			75,000	548,000				623,000
Rail Capital Maintenance	61714	745,000			745,000	3,970,430	3,330,999	2,000,000	3,293,971	13,340,400
Stadler Spare Parts	61506	96,000			96,000					96,000
ABB Stadler Spare Parts	61507	276,000			276,000					276,000
Trail Safety Improvements	61715	139,657				139,657				139,657
Fare Collection Systems	61401	733,104	649,943	14,000		69,161				733,104
Stadler Fleet Emergency Lighting	61508	190,000			190,000					190,000
Lewisville Bike Trail - Eagle Point Section	61716	2,995,873			100,000	2,895,873				2,995,873
<b>G&amp;A</b>										
COGNOS Report Writing Application	10601	200,000				200,000				200,000
Comprehensive Service Analysis	10602	420,222		76,210	123,790	146,815	73,407			420,222
Legal Support	10603	226,553		10,915	215,638					226,553
Shortel Phone System	10402	85,000			85,000					85,000
Lewisville Facilities Study	10301	100,000			100,000					100,000
Safety & Security {Agency-Wide}	NEW	250,000	-	-	-	250,000	-	-	-	250,000
Infrastructure Acquisition	NEW	1,900,000	-	-	-	1,900,000				1,900,000
Project Management/Document Control	NEW	150,000	-	-	-	150,000	150,000	150,000	150,000	600,000
<b>TOTAL</b>										
		\$ 55,327,336	\$ 14,018,595	\$ 3,819,773	\$ 12,283,347	\$ 24,793,967	\$ 12,963,083	\$ 5,750,000	\$ 5,943,971	\$ 79,572,736





## FY 16-17 Finance Committee Calendar

 Budget Kick-Off Meeting

 **FC** Finance Committee Meetings

 Budget Reviews (Staff)

 Department Goals Due





 **B** Board of Director Meetings

 Budget Projections Due (Staff)

April '16						
Su	M	Tu	W	Th	F	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	<b>B</b> 28	29	30

May '16						
Su	M	Tu	W	Th	F	Sa
1	2	 3	4	5	6	7
8	9	10	11	12	13	14
15	16	<b>FC</b> 17	18	19	20	21
22	23	24	25	<b>B</b> 26	27	28
29	 30	31				

May 3: FY16 Q2 Budget Reviews (Staff)  
 May 17: Finance Committee (12-2 pm)  
 FY16 Revised Budget  
 May 26: BOD FY16 Revised Budget Adoption  
 May 30: FY17 Department Goals Due

June '16						
Su	M	Tu	W	Th	F	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	 20	21	22	<b>B</b> 23	24	25
26	 27	 28	 29	30		

June 20: FY17 Budget Projections Due (Staff)  
 Revenues, Operating & Capital  
 June 27-29: FY17 Budget Reviews (Staff)

July '16						
Su	M	Tu	W	Th	F	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	<b>FC</b> 25	26	27	<b>B</b> 28	29	30
31						

July 25: Finance Committee (10am-2pm)  
 FY17 Budget Workshop

August '16						
Su	M	Tu	W	Th	F	Sa
	1	2	3	4	5	6
7	<b>FC</b> 8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	<b>B</b> 25	26	27
28	29	30	31			

August 8: Finance Committee (2-4 pm) \*if needed  
 August 25: BOD FY17 Budget Presentation & Public Hearing

September '16						
Su	M	Tu	W	Th	F	Sa
				1	2	3
4	5	6	7	8	9	10
11	<b>FC</b> 12	13	14	15	16	17
18	19	20	21	<b>B</b> 22	23	24
25	26	27	28	29	30	

September 12: Finance Committee (2-4pm) \*if needed  
 September 22: BOD FY17 Budget Adoption



## FY 16-17 Finance Committee Calendar

 Budget Kick-Off Meeting

 **FC** Finance Committee Meetings

 Budget Reviews {Staff}

 Department Goals Due

 **B** Board of Director Meetings

 Budget Projections Due {Staff}

October '16						
Su	M	Tu	W	Th	F	Sa
						1
2	3	4	5	6	7	8
9	<b>FC</b> 10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	<b>B</b> 27	28	29
30	31					

October 10: Quarterly Finance Committee (2-4pm)

October 27: Board of Directors Meeting

November '16						
Su	M	Tu	W	Th	F	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	<b>B</b> 17	18	19
20	21	22	23	24	25	26
27	28	29	30			

November 17: Board of Directors Meeting

December '16						
Su	M	Tu	W	Th	F	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	<b>B</b> 15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

December 15: Board of Directors Meeting

January '17						
Su	M	Tu	W	Th	F	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	<b>FC</b> 16	17	18	19	20	21
22	23	24	25	<b>B</b> 26	27	28
29	30	31				

January 16: Quarterly Finance Committee (2-4pm)

January 26: Board of Directors Meeting

# Positive Train Control Funding Plan

Category	Cost
E-ATC Base Cost	\$ 9,953,103
E-ATC Options (initial NTP)	\$ 1,800,540
E-ATC Options (deferred NTP)	\$ 967,274
Project Management	\$ 500,000
Tech Assistance	\$ 312,000
Signal Enhancements	\$ 868,568
Alstom Change Order (Pending Board Act)	\$ 681,790
<b>TOTAL COST</b>	<b>\$15,083,275</b>
<b>Signal Enhancement/Network Upgrade</b>	<b>\$ 700,000</b>
<b>LIKELY TOTAL COST</b>	<b>\$15,783,275</b>

## Notes:

1. Remaining funds from previous debt issuance: \$3,348,194.
2. Total E-ATC award is \$12,720,917

Source	Federal (20% match req'd)	Bond Funds (existing)
TX-90-X079 (NCTCOG)	\$12,500,000	\$ 3,125,000
TX-90-X941 (5307)	\$ 876,645	\$ 219,161
<b>TOTAL FUNDS AVAILABLE</b>	<b>\$16,720,806</b>	
Remaining Bond Funds		\$ 4,033
<b>Current Effective Contingency w/o New Debt</b>	<b>6%</b>	